

# Beyond Equal Rights: Equality of Opportunity in Political Participation

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# Beyond Equal Rights: Equality of Opportunity in Political Participation

## Abstract

While it is well documented that political participation is stratified by socio-economic characteristics, it is an open question how this finding bears on the evaluation of the democratic process with respect to its fairness. In this paper we draw on the analytical tools developed in the equality of opportunity literature to answer this question. We investigate to what extent differential political participation is determined by factors that lie beyond individual control (circumstances) rather than being the result of individual effort. Using rich panel data from the US, we indeed find a lack of political opportunity for the most disadvantaged circumstance types. Opportunity shortages tend to complement each other across different forms of participation and persist over time. Family characteristics and psychological conditions during childhood emanate as the strongest determinants of political opportunities.

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# 1 Introduction

Rousseau (1978) supposed that in well-run states “everyone rushes to the assemblies.” Judging by this standard, Western democracies are in increasingly bad shape as the drop in voter participation is a shared tendency in these countries (OECD, 2015). For example, in the 2016 US Presidential election, almost 100 million individuals of the voting age population did not turn out to vote on election day (McDonald, 2018).

In this work we analyze the individual determinants of political participation from an equal opportunity perspective. Drawing on rich panel data from the US, we investigate to what extent political participation is driven by circumstances – individual characteristics that are beyond individual control – as opposed to individual effort. Prominent examples of the former factors include biological characteristics such as sex and race, the socio-economic status of the parental household, or the characteristics of the neighborhood in which children were raised. In line with the seminal contribution by Roemer (1998), we interpret participation differences across circumstance types as indicative for the presence of unequal opportunities in political participation.

Thereby our paper contributes to two strands of the literature. First, research on (the lack of) political participation has a long-standing tradition in the social sciences. In particular, recent empirical contributions analyze the effects of voting costs (Campante and Chor, 2012; Charles and Stephens Jr, 2013), the influence of exposure to different media (Falck et al., 2014; DellaVigna and Kaplan, 2007), election closeness (Bursztyrn et al., 2017; Gerber et al., 2017) as well as institutional features of the political process such as compulsory voting laws (Hoffman et al., 2017) and technologies of vote collection (Funk, 2010; Fujiwara, 2015). Furthermore, various individual characteristics are widely accepted as fundamental drivers of political participation. Among others, these include a person’s socio-economic status (Dee, 2004; Milligan et al., 2004) as well as preference and belief sets (Cantoni et al., 2017). While the previous literature has analyzed a vast array of participation determinants in their own right, none of the studies has analyzed political participation from an equal opportunity perspective – a gap that we fill in this paper.

Second, the literature on equality of opportunity has largely focused on income (Chetty et al., 2014b; Ferreira and Gignoux, 2011; Bourguignon et al., 2007), education (Chetty et al., 2014a; Ferreira and Gignoux, 2014) and health (Rosa Dias, 2009; Fleurbaey and Schokkaert, 2009). In this work we widen the scope of this strand of the literature by considering political participation as a new outcome dimension. In particular, we focus on seven forms of participation: (i) voter registration for the 2000 Presidential election, (ii) vote casting in the 2000 Presidential election, (iii) contact to officials, (iv) participation in rallies or marches, (v) membership in political organizations, (vi) volunteering in civic organizations, and lastly (vii) the vote frequency in statewide and local elections. Our second contribution to the equality of opportunity literature is that, in addition to rather traditional circumstance characteristics such as race or parental socio-economic status, this is the first work that expands the set of circumstance variables by genotype information. By virtue of the fact that genes are fixed, they represent a pure measure of biological inheritance and thus should be of particular interest in the estimation of equality of opportunity.

Our results show that factors beyond individual control are strong determinants of political participation along each of these dimensions – especially with respect to contacts to officials, participation in rallies and marches, and the membership in political organizations. In these three dimensions we find that more than 50% of the observed variation in participation must be attributed to differences in opportunity sets across circumstance types. In the remaining dimensions this statistic is around 20% – a result comparable to other outcome dimensions such as income or tertiary education. It is noteworthy that opportunity disadvantages do not set-off each other across different modes of participation. Disadvantages in either activity are positively

correlated with opportunity disadvantages in other forms of political participation. Furthermore, our results suggest that opportunity disadvantages persist over time. Family circumstances and psychological dispositions as a child consistently exert the strongest influence on unequal opportunities across all forms of political participation. We find that genotype information has a statistically significant impact on inequality of opportunities. The influence of genes however is small in magnitude in comparison with the previously mentioned circumstance groups.

Analyzing political participation from an equal opportunity perspective provides a number of important insights. First, fairness assessments of people are highly sensitive to the process according to which an outcome comes about. In particular, they oppose inequalities that are not rooted in individual effort but exogenous circumstances (Cappelen et al., 2007; Alesina et al., 2018). Analogously, it is a key question for the legitimacy of democratic outcomes whether political non-participation is self-inflicted instead of being attributable to factors beyond individual control (Brady et al., 2015). To be sure, in the US the right to vote is unrestricted – as is the right to free speech and association.<sup>1</sup> Yet our results suggest that the take-up of these liberties is strongly stratified by the circumstances in which people grow up. Thus, while there is *formal* (or *de jure*) equality of opportunity for political participation, there remains inequality in the *effective* (or *de facto*) opportunity to exercise one’s voice in the democratic process.

Second, by means of participating in the political process the constituents of a jurisdiction can influence policies, the consequences of which are fed back to themselves. Thus, political participation has an instrumental function in fostering the citizens’ interests. From that perspective, non-participation alone would be unproblematic if the preferences of the participating population were entirely congruent with the abstaining fraction. However, this assumption seems to be contradicted by a variety of findings, for example that “[i]n particular, women, youth and African-Americans appear to have stronger preferences for redistribution” (Alesina and Giuliano, 2011). Henceforth, if political activity was stratified by these very same circumstance characteristics, i.e. sex, age and race, the participation bias would reinforce existing inequalities by discounting the call for increased redistribution. Further evidence to this effect is provided by Cascio and Washington (2013) who show that the enfranchisement of blacks through the Voting Rights Act from 1965 led to larger turnout in black communities as well as larger transfers from state governments to the affected communities. Similarly, Miller (2008) shows how the health outcomes of children have benefited from policies adopted as a result of female suffrage. While the previous examples refer to the revocation of *de jure* opportunity disadvantages to exercise democratic rights, Fujiwara (2015) analyzes the consequences of a *de facto* enfranchisement in a setting of universal suffrage. In particular, he shows that a reduction of voting costs has benefited the health outcomes of disadvantaged families through increased health care spending.

Lastly, there is a multitude of reasons of why people have argued that an increase in political participation was desirable. Among others, these include the reduction of inequality (Mueller and Stratmann, 2003), increases in democratic accountability (Banerjee et al., 2010) and more equitable policy outcomes (Cascio and Washington, 2013). Naturally, this provokes the question which policy interventions are apt to increase political participation in a cost-efficient manner. Our work provides additional support that political participation could be fostered by early-childhood interventions that target knowledge and skills conducive to political participation in adulthood (Holbein, 2017). Of course this is not to say that other policies like get-out-the-vote campaigns (DellaVigna et al., 2016), the introduction of postal and electronic voting (Funk, 2010; Fujiwara, 2015) or compulsory voting laws (Hoffman et al., 2017) are less effective tools to increase political participation. However, in view of limited evidence of spillover effects from these interventions to other forms of political participation (Holbein and Rangel, 2016) it may be worthwhile to consider policy interventions that target the underlying knowledge and skill set

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<sup>1</sup>One may dispute the existence of universal suffrage in the US due to felony disenfranchisement in some states. As we will discuss later, this fact is accommodated by our analytical framework.

rather to foster the act of participation as such.

The remainder of the paper is structured as follows. In section 2 we outline our analytical framework as well as the ensuing estimation strategy for the empirical analysis. In section 3 we describe the data set, followed by a presentation of the results in section 4. Section 5 is devoted to a detailed analysis of the underlying drivers of unequal opportunities in political participation. Lastly, we conclude with section 6.

## 2 Conceptual Framework

**Equality of Opportunity.** The equality of opportunity framework allows for the normative assessment of the distribution of some desirable outcome, such as health status, education or income. It is rooted in a philosophical discourse on the principles of distributive justice. The underlying normative cut – that people should be held responsible for their efforts only, not for factors beyond their control – resonates in the most prominent contributions to this branch of the philosophical discourse (Rawls, 1971; Cohen, 1989; Arneson, 1989; Dworkin, 1981b, 1981a; Sen, 1980). On the one hand, the normative principle implies that inequality is unacceptable if it is rooted in factors that are beyond individual control. It is the task of social policy to correct the outcome distribution, for instance by means of transfer payments in the case of income. On the other hand, *equality of outcomes* is not a demand of justice as long as we reject the idea that the human endeavor is perfectly deterministic. To the extent that inequality is a result of individual effort, proponents of the equality of opportunity ethic accept the outcome distribution as fair. The formalization of equality of opportunity principles – among others by Bossert (1995), Fleurbaey (1995), and Roemer (1998) – has stimulated an extensive body of literature in the field of economics (see Ferreira and Peragine, 2016; Roemer and Trannoy, 2015, for recent overviews). Particularly the normative and econometric properties of different measurement approaches have been an area of in-depth interest (Ramos and Van de gaer, 2016).<sup>2</sup>

Consider a population of size  $N$  indexed by  $i \in \{1, \dots, N\}$  with an associated vector of non-negative outcomes  $p = [p_1, \dots, p_i, \dots, p_N]$ , which we henceforth refer to as outcome distribution.<sup>3</sup> To evaluate the fairness of a given outcome distribution, the empirical literature draws on the concepts of *circumstances* and *efforts*.<sup>4</sup> Standard examples of circumstances are the biological sex, skin color or the educational achievement of parents. Examples of effort in the context of political participation are common indicators for socio-economic status such as educational achievement and income, or individual behaviors that are targeted towards information gathering, such as news consumption. Let's denote  $\Omega \subseteq \mathbb{R}^{q_c}$  as the space containing all possible values that individual circumstances  $c_i$  can have. Then, individual  $i$ 's circumstance vector is given by  $c_i = [c_{i1}, \dots, c_{iq_c}]$ . Similarly, define  $\Theta \subseteq \mathbb{R}^{q_e}$  as the space containing all possible expressions that can be assumed by individual efforts. Individual  $i$ 's effort vector is given by  $e_i = [e_{i1}, \dots, e_{iq_e}]$ . The distribution of individual efforts is not orthogonal to circumstances.<sup>5</sup> To the extent that we want to correct for efforts that are endogenous to circumstances, we furthermore define  $\Xi \subseteq \mathbb{R}$  and the

<sup>2</sup>It is noteworthy that the burgeoning literature on intergenerational mobility (Black and Devereux, 2011) yields measures of inequality of opportunity in which the set of circumstances is restricted to a single indicator.

<sup>3</sup>In our empirical application all outcomes are binary extensive margin measures that indicate whether individuals participated in the respective activity or not. See Table 1 in section 3.

<sup>4</sup>In line with the extant literature, circumstances label non-responsibility factors and efforts label responsibility factors. The former are all factors that cannot be influenced by individuals before reaching the age of consent. The latter are all factors that can be (partially) influenced after trespassing the age of consent.

<sup>5</sup>For example, on the one hand the gender wage gap is the result of discriminatory processes in the labor market. On the other hand, it has been shown that females have increased their labor supply in response to a shrinking gender wage gap (Mulligan and Rubinstein, 2008). To phrase it in the terms of the equality of opportunity framework: females adjusted their effort in response to reduced discrimination based on the circumstance variable "gender".

individual scalar  $\epsilon_i \in \Xi$ , which indicates the effort component that is distributed independently from circumstances  $c_i$ . Defining  $g : \Theta \times \Omega \mapsto \mathbb{R}^+$  and  $h : \Xi \times \Omega \mapsto \Theta$ , the relation of interest can be expressed as follows:

$$p_i = g(c_i, h(c_i, \epsilon_i)), \quad (1)$$

where circumstances  $c_i$  and endogenous effort  $h(c_i, \cdot)$  are considered as root-causes of unfair inequality, whereas differential effort net of circumstance influence  $h(\cdot, \epsilon_i)$  captures the fair determinants of individual outcomes.<sup>6</sup>

Now, let's define  $T$  to be the partition of  $N$  that is created by letting  $i, j \in T^k \iff c_i = c_j$  for all  $T^k \in T$  and  $i, j \in N$ . Since types are homogeneous in circumstances all differences in political participation between members of the same type are attributed to differential effort. In this paper we rely on a method of measurement which the literature refers to as the *ex-ante utilitarian* approach (Ramos and Van de gaer, 2016). It is *ex-ante* in the sense that the need for compensation is determined without regard to the realization of individual effort. One rather evaluates the opportunity set available to a specific circumstance type. It is *utilitarian* in the sense that we are indifferent to any participation differentials within circumstance types. We thus evaluate the opportunity set available to a specific type by its mean participation level. Perfect equality of opportunity would prevail if all types  $T^k \in T$  faced the same opportunity set and the observed variation in outcomes was a pure result of differential effort.

**Political Participation.** Models of political participation have a long-standing tradition in the political economy literature. While the seminal contribution by Downs (1957) focused on the cost-benefit trade-off in the decision to turn out to vote, subsequent scholars have enriched the instrumental model by ethical (Feddersen, 2004) and social signaling considerations (Funk, 2010). To illustrate how the circumstance-effort divide impacts the individual calculus of political participation we draw on a modified version of the model outlined in DellaVigna et al. (2016). As many of its predecessors, this model considers the decision to turn out to vote. Yet it can be straightforwardly modified for other forms of political participation.

Let's consider the extensive margin decision to participate in the political process, where

$$p_i = \begin{cases} 1, & \text{if } i \text{ participates.} \\ 0, & \text{otherwise.} \end{cases} \quad (2)$$

An individual participates in the political process if the utility from doing so,  $U_i(p_i)$ , exceeds the utility from abstention,  $U_i(1 - p_i)$ :

$$U_i(p_i) = \pi_i B_i - w_i + A_i(p_i) + \sum_z D_i^z [\max(s_i^z(p_i), s_i^z(1 - p_i) - L_i)] \quad (3)$$

$$U_i(1 - p_i) = A_i(1 - p_i) + \sum_z D_i^z [\max(s_i^z(1 - p_i), s_i^z(p_i) - L_i)] \quad (4)$$

In this set-up  $B_i$  indicates the utility value of changing the outcome of the political process from one result to the other, while  $\pi_i$  is the perceived probability of being pivotal.  $w_i$  captures the cost of participation, whereas  $A_i(p_i)$  and  $A_i(1 - p_i)$  are (dis-)utility values that are intrinsic to the act of (non-)participation as such, regardless of whether  $i$  is able to tip the balance in the desired direction. Supposedly,  $A_i(p_i) \geq A_i(1 - p_i)$  but we do not require this assumption. The last terms in the equations above are indicative for social signaling concerns, where  $D_i^z$  indicates the frequency with which social circle  $z$  inquires individual  $i$ 's participation in the political process.

<sup>6</sup>The allocation of effort differences that are endogenous to circumstances is not innocuous from a normative perspective (Barry, 2005). We therefore provide robustness checks to this assumption in section 4.

Examples of social circles are the family, peers at work, the neighborhood block or the church community. When being asked about one’s political participation behavior, individual  $i$  faces the choice between the social signal sent by a truthful response,  $s_i^z(p_i)$  or  $s_i^z(1 - p_i)$ , and the cost of lying,  $L_i$ . Supposedly,  $L_i > 0$  and  $s_i^z(p_i) \geq s_i^z(1 - p_i)$  but again we do not need to impose these assumptions for our purposes. With a slight abuse of notation we reduce the utility value of social signaling considerations in social circle  $z$  to  $s_i^z(p_i)$  and  $s_i^z(1 - p_i)$ . Individuals thus engage in the political process if the following condition holds:

$$U_i(p_i) - U_i(1 - p_i) > 0 \iff \pi_i B_i - w_i + A_i + \sum_z D_i^z s_i^z > 0, \quad (5)$$

where  $A_i = A_i(p_i) - A_i(1 - p_i)$  and  $s_i^z = s_i^z(p_i) - s_i^z(1 - p_i)$ .

In accordance with the equality of opportunity concept we can endogenize each component of the individual decision to engage politically to the influence of circumstances and efforts:

$$\pi_i(c_i, e_i) B_i(c_i, e_i) - w_i(c_i, e_i) + A_i(c_i, e_i) + \sum_z D_i^z(c_i, e_i) s_i^z(c_i, e_i) > 0. \quad (6)$$

To the extent that any of the components of the individual calculus to participate in the democratic process is dependent on circumstances, we will detect inequality of opportunity with respect to political participation. To preempt claims that political participation is due to responsibility factors only, we present one example of potential circumstance influence for each of the elements entering the individual participation calculus.

The computation of subjective pivot probabilities ( $\pi_i$ ) is a task that demands intellectual capacity which is at least partially determined through genetic endowments and parental investments (Deckers et al., 2017). For preferences among political alternatives ( $B_i$ ) to exist, it is a necessary condition that these platforms are different in some dimension relevant to individual  $i$ . To the extent that “old boys networks” lead to an under-representation of female candidates on voting lists (Esteve-Volart and Bagues, 2012), this may lessen the incentive to participate for female citizens. The cost to vote ( $w_i$ ) includes the commuting time to the polling station. To the extent that there is a circumstance related bias in placing polling stations (Brady and McNulty, 2011), for instance by the racial composition of neighborhoods, the ensuing difference in turnout rates is attributed to unequal political opportunities. Recent evidence suggests that preferences, beliefs and attitudes vary with biological sex (Dohmen et al., 2008) and parenting styles (Dohmen et al., 2012). Since both are common circumstance variables it is reasonable to assume that the intrinsic value of voting ( $A_i$ ) as well as social image concerns ( $s_i^z$ ) are co-determined by factors beyond individual control. Lastly, the number of interrogations regarding one’s political behavior ( $D_i^z$ ) is strongly shaped by parental influences. Most straightforwardly this is the case when considering the social circle of the family itself. Similar considerations, however, apply to the neighborhood or the work environment since residential and occupational choices have been shown to correlate substantially with their parental analogues (Chetty et al., 2016; Braun and Stuhler, 2018).

The extent and the specific channels through which circumstance factors influence the participation calculus is dependent on the specific political activity. Bénabou (2000) shows for the US that political participation is particularly biased in favor of high earners and well-educated citizens if the activity is rather resource intensive. For example, he calculates that the average pivotal voter was placed at the 56th percentile of the income distribution across the time period 1952-1988. Being the pivotal agent when attending meetings and working on campaigns even required to be placed above the 65th percentile of the income distribution. Taken together with evidence on the strong intergenerational transmission of both income and education, these results suggest that the dispersion in political opportunities will be particularly pronounced for resource



intensive forms of participation.

**Estimation.** In this work we are not concerned with evaluating the importance of the different channels through which circumstances impact the individual participation calculus, nor is our observational data suited for this purpose. Rather we aim to quantify the aggregate impact of circumstances on the observed distribution of political participation. Hence, we can abstract from the particular elements of the participation calculus and condense equation (6) to a reduced form. Recall that:

$$\pi_i(c_i, e_i)B_i(c_i, e_i) - w_i(c_i, e_i) + A_i(c_i, e_i) + \sum_z D_i^z(c_i, e_i)s_i^z(c_i, e_i) > 0 \iff p_i(c_i, e_i) = 1. \quad (7)$$

Furthermore, recognizing that some determinants of individual utility are unobserved,  $i$ 's probability to participate in the political process can be written as follows:

$$p_i(c_i, e_i) = 1 \iff U_i(p_i) - U_i(1 - p_i) > 0 \quad (8)$$

$$\iff V_i(p_i) - V_i(1 - p_i) > \epsilon_i(1 - p_i) - \epsilon_i(p_i) \quad (9)$$

$$\text{Prob}[V_i(p_i) - V_i(1 - p_i) > \epsilon_i(1 - p_i) - \epsilon_i(p_i)] = \text{Prob}[V_i > -\epsilon_i], \quad (10)$$

where  $V_i(p_i)$ ,  $V_i(1 - p_i)$  and  $\epsilon_i(p_i)$ ,  $\epsilon_i(1 - p_i)$  indicate the observed and unobserved determinants of individual utility, respectively. Assuming an iid extreme value distribution of  $\epsilon_i$ , this leads to the logit specification (Train, 2009):

$$\ln\left(\frac{p_i}{1 - p_i}\right) = \sum_{j=1}^{q_c} \beta_j c_{ij} + \sum_{k=1}^{q_e} \gamma_k e_{ik}, \quad (11)$$

where  $c_{ij}$  and  $e_{ik}$  are all observed elements of  $c_i$  and  $e_i$ , respectively.

Recall that the observed outcome  $p_i$  is determined by the function  $p_i = g(c_i, h(c_i, \epsilon_i))$ , where  $\epsilon_i$  represents residual effort net of circumstance influence. In our baseline estimates we follow Roemer (1998) and recognize that effort is shaped by circumstances, i.e. that the distribution of effort within each circumstance type is itself a characteristic of the type. Following this logic, we fit a logit model with circumstances as the only right-hand side variables:

$$\ln\left(\frac{p_i}{1 - p_i}\right) = \sum_j^{q_c} \beta_j c_{ij}. \quad (12)$$

Then, by calculating predicted probabilities based on equation (12), we effectively sterilize the outcome distribution from the fair determinants of political participation ( $\epsilon_i$ ). This yields the estimator for the value of the individual opportunity set  $\mu_i^{T^k}$ :

$$\mu_i^{T^k} = \frac{\exp(\sum_j^{q_c} \hat{\beta}_j c_{ij})}{1 + \exp(\sum_j^{q_c} \hat{\beta}_j c_{ij})}. \quad (13)$$

Note that  $\mu_i^{T^k} = \mu_j^{T^k}$ ,  $\forall i, j \in T^k$ , since  $c_i = c_j$ ,  $\forall i, j \in T^k$ .

The resulting distribution of  $\mu_i^{T^k}$  is called *smoothed* distribution. Note that any inequality in the smoothed distribution exclusively relates to differences in the values of opportunity sets across circumstance types and thus conflicts with the ethics of equality of opportunity: the higher the dispersion in the smoothed distribution, the more variation in the outcome distribution is

due to differences across types, the higher inequality of opportunity in political participation.

Equations (12) and (13) illustrate that this procedure yields a lower bound estimate of inequality of opportunity. Variation explained by circumstance variables that are not included in the estimation, is captured in the error term  $\epsilon_i$  and therefore attributed to the fair determinants of inequality. Thus, expanding the circumstance set under consideration always increases the variation in the smoothed distribution unless these circumstances are orthogonal to the outcome of interest (see Ferreira and Gignoux, 2011; Niehues and Peichl, 2014, for thorough discussions).<sup>7</sup> As it is very unlikely that any data set captures all relevant circumstance variables, the estimate of inequality of opportunity cannot exceed its true value.

To obtain a scalar measure of unequal opportunities we construct a dissimilarity index which is applied in various works on equality of opportunity with discrete outcomes (Paes de Barros et al., 2008; Foguel and Veloso, 2014). The dissimilarity index, based on which we present our baseline estimates, is constructed as follows. In a first step we calculate the dispersion in opportunities:

$$D_a = \frac{1}{2N} \sum_i \left| \mu_i^{T^k} - \frac{1}{N} \sum_i \mu_i^{T^k} \right|. \quad (14)$$

The term within the absolute value brackets indicates by how much a type-specific advantage level diverges from the average realization within the sample. Note that the second term within the brackets corresponds to the mean of both the outcome distribution and the smoothed distribution as the error terms in a logit estimation sum up to zero. The division by two is for interpretive purposes. As the sum of positive divergences from the average cancels with sum of negative divergences,  $D_a$  can now be interpreted as the “number of opportunities” that would have to be redistributed in order to obtain the fair outcome. In a second step we scale the dispersion measure by the average realization within the sample to obtain the dissimilarity index:

$$D_r = \frac{D_a}{\frac{1}{N} \sum_i \mu_i^{T^k}} = \frac{D_a}{\mu} \quad (15)$$

We can interpret  $D_r$  as the “share of opportunities” that is unfairly distributed.

### 3 Data

The data set for this research project needs to satisfy two conditions. First, given the lower bound nature of the estimator it needs to provide a large set of circumstance variables in order to cushion the downward bias of our results. Second, it needs to include indicator variables for political participation.<sup>8</sup> The one study that strikes a balance between both requirements is the *National Longitudinal Study of Adolescent to Adult Health (Add Health)*. Add Health is a four-wave panel study that focuses on health-related behaviors and the causes of health outcomes. Initial information was collected in 1994/95 on adolescents in grades 7-12 ( $N = 20,745$ ) drawing on a stratified sample of 80 high schools in the US. The sampling was conducted so as to assure a nationally representative sample of adolescents enrolled in grades 7-12 in 1994/95. In addition to in-depth interviews with adolescents, questionnaires were administered to school representatives, parents and roughly 90,000 students of the sampled schools. Importantly, the survey data is linked to additional contextual data from other data sources such as the Census

<sup>7</sup>Recently, Brunori et al. (2018) have argued that the estimator may not be a lower bound if the model is overfitted and parameters are poorly identified. We provide sensitivity checks for overfitting in section 4.

<sup>8</sup>In the US context, surveys with an explicit focus on political behavior, such as the *American National Election Study (ANES)* perform poorly with respect to the first requirement. The reverse holds true for longitudinal studies which allow the construction of finely grained type partitions, such as the *National Longitudinal Study of Youth (NLSY79)* and the *Panel Study of Income Dynamics (PSID)*.

of Population and Housing, the School District Databook or the Statistics of the US Bureau of the State Government Finances. In the two most recent waves ( $N = 15,170$  and  $N = 15,701$ , respectively) all respondents observed in Wave 1 had achieved the age of consent, which makes it feasible to extract outcome variables on different political activities, such as vote casting.

Before proceeding with a description of the variables of interest, we want to give an account of our understanding of political participation for the purpose of this work. Barrett and Brunton-Smith (2014) describe political participation as all activities influencing the development and implementation of public policy and the selection of representatives entrusted with this process. According to this view, *participation* can be contrasted to *engagement* to the extent that the former refers to activities rather than to psychological dispositions, attitudes and interests. Thus, self-identified interest in politics or ideological leanings are beyond the realm of *participation*. Moreover, *political* participation can be contrasted to *civic* participation, where the latter relates to voluntary activity to the benefit of fellow human beings or the public good. Thus, community services, donations to and fund-raising activities for charities are beyond the realm of the *political*. In practice, however, there is a fine line between civic and political participation as evidenced by the fact that non-political organizations, such as religious communities, often serve as recruitment vehicles for political action (Verba et al., 1993). This leads us to abstract from this second division.

According to this delineation, Add Health provides information on the following forms of political participation: (i) voter registration for the 2000 Presidential election, (ii) vote casting in the 2000 Presidential election, (iii) contacts to officials, (iv) participation in rallies or marches, (v) membership in political organizations, (vi) volunteering in civic organizations, and lastly (vii) the vote frequency in statewide and local elections. Information on activities (i)-(vi) is sourced from Wave 3 (respondent age: 18-26) and captured in binary variables indicating whether the respective activity was undertaken within the last 12 months. Information on activity (vii) is sourced from Wave 4 (respondent age: 24-32) and captured in a self-reported, ordinal variable with four expressions, ranging from “always” and “often” to “sometimes” and “never”. For the purpose of this work we decompose this variable into two binary variables indicating whether people consider themselves to be “always-voter” or “never-voter”. Summary statistics for all modes of political participation are provided in Table 1.

Table 1: Outcome Variables (Summary Statistics)

	N	Mean	SD	Min	Max
<b>Wave 3 (01/02)</b>					
Registered (2000)	12,229	0.717	0.450	0.000	1.000
Vote (2000)	12,187	0.427	0.495	0.000	1.000
Contact Official	12,261	0.030	0.170	0.000	1.000
Rally/March	12,260	0.032	0.175	0.000	1.000
Political Org.	12,233	0.020	0.140	0.000	1.000
Volunteer Work	12,233	0.282	0.450	0.000	1.000
<b>Wave 4 (08)</b>					
Vote Always	12,229	0.236	0.424	0.000	1.000
Vote Never	12,229	0.339	0.474	0.000	1.000

**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Means and standard deviations are weighted to correct for sampling procedure and sample attrition through Wave 3 and Wave 4.

Circumstance variables are derived from the first wave of Add Health, when the vast majority of respondents was younger than 18 years. We exclude all respondents older than 17 in the first wave.<sup>9</sup> This restriction is not innocuous. All applied researchers on equality of opportunity need

<sup>9</sup>Due to this restriction, the age range in our sample decreases from 18-26 (24-32) to 18-24 (24-30) for Wave 3 (Wave 4) outcome variables.

to decide which individual characteristics they are willing to treat as circumstances. For the purpose of this work we treat the entire child biography up to the age of 18 as a circumstance and thus do not hold children responsible for any of their prior efforts.<sup>10</sup>

In total we consider a set of 87 circumstance variables<sup>11</sup> that are grouped in  $M = 9$  categories. Hence,  $\Omega := \times_{m=1}^M \Omega^m$  and  $c_i^m = [c_{i1}^m, \dots, c_{iq_c^m}^m]$ . In view of the breadth of the circumstances considered, a thorough description of each circumstance variable cannot be given here. Instead we focus on a brief description of the nine circumstance categories. For details on specific circumstances, the interested reader is directed to Table A.1 in the Appendix, where summary statistics for all circumstances are disclosed.

The first set of circumstances includes *demographic information* such as age, migration status and race. Second, we consider *family background information*, for instance the education of parents, the number of siblings and the self-perceived quality of the child-parent relationship. Third, we take account of variables that are indicative for the quality of the respondent’s *social life as a child*. Examples for this category are the number of contacts with friends per week or whether the respondent reports to feel socially accepted. Fourth, the *childhood neighborhood* is evaluated in terms of its safeness and a host of different demographic and socio-economic indicators. The fifth set captures *characteristics of the school* the respondent went to. Among others we take account of the average class size and the educational achievement of teachers. Sixth, the *ability* of respondents is evaluated in terms of the standardized Picture Vocabulary Test Score (PVT) and whether the respondent skipped or repeated any grades. Aspects of the respondent’s *physical condition* during childhood are evaluated along various dimensions ranging from physical restrictions due to disabilities, over ratings of attractiveness, to a measure for the Body Mass Index (BMI). In the eighth category we capture a battery of questions on *psychological dispositions* such as suicidal intentions, self-ratings of intelligence, expectations for one’s later life and engagement in risky behaviors such as drug abuse and criminal behavior.<sup>12</sup> Lastly, we include a battery of binary indicators for the respondent’s *genetic endowment*. The evolving interest in genes as mediators of environmental influences that determine political participation is a noteworthy recent development in the social science literature (Fowler and Dawes, 2008; Benjamin et al., 2012). The genetic data used in this work was sourced in the fourth wave of Add Health for a sample of approximately 15,000 respondents. A detailed discussion of genetic variables and their potential to impact political behavior is given in section 5.

The analysis is conducted using a pre-configured set of sampling weights in order to correct for selective oversampling and sample attrition. Furthermore, to account for selective item non-response with respect to different outcome dimensions we re-weight the sample with respect to the demographic characteristics of race, region of residence and biological sex. Hence, in line with the initial data set collected by Add Health, all figures presented in this paper are representative for the US population of adolescents enrolled in grades 7-12 in 1994/95. Evidence to this effect is provided in Table A.2. In spite of the sample reductions, the characteristics of each estimation sample used for our analysis do not differ significantly from the initial Add Health sample.

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<sup>10</sup>In principle it is possible to specify the responsibility cut-off at an earlier age, say 12 or 16, which would restrict the eligible set of circumstances  $\Omega$ . See Hufe et al. (2017) for a discussion of the age of consent in the equality of opportunity literature.

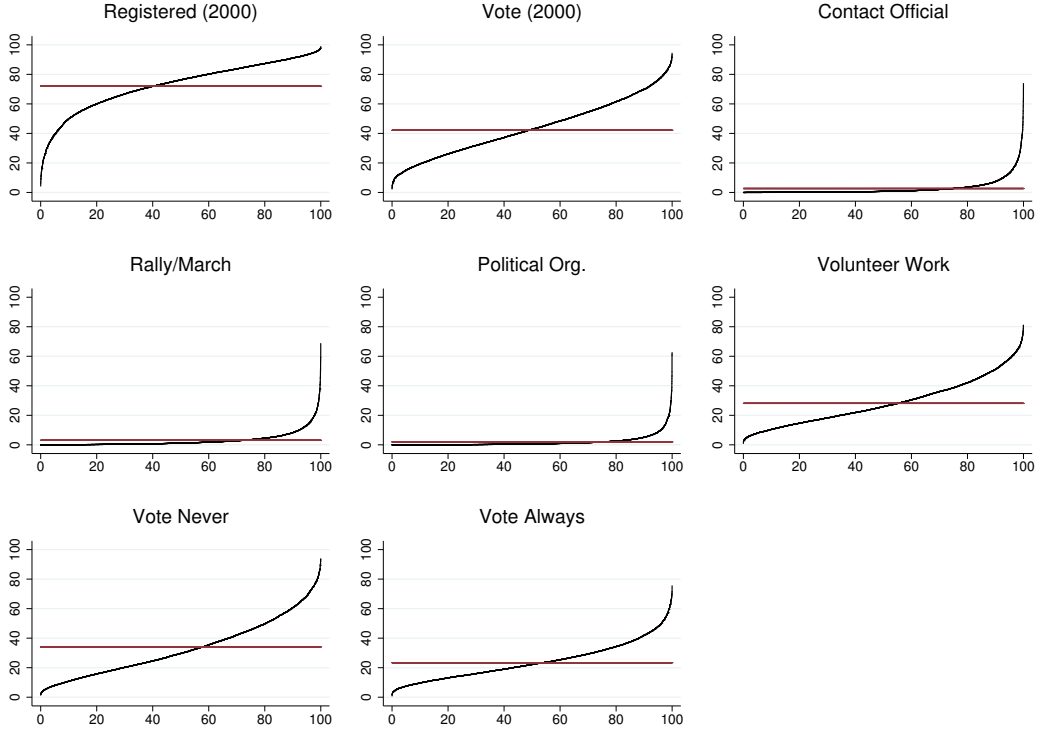
<sup>11</sup>To allow for parametric flexibility, we split categorical variables into their categories leading to the list of 196 circumstances listed in Table A.1. Omitting base categories, our models are based on 151 circumstance indicators.

<sup>12</sup>Information on criminal records during childhood are important in a context of felony disenfranchisement. By including information on criminal records during childhood, felony disenfranchisement belongs to the sphere of inequality of opportunity if the crime that lead to disenfranchisement was committed during childhood. To the contrary, if non-participation is rooted in a felony committed after the relevant age cut-off, we partially hold people responsible for this outcome. By means of our econometric strategy we partial out type-specific propensities to commit a felony and hold people responsible for the residual outcome.

## 4 Results

**Baseline Results.** Figure 1 illustrates the dispersion of opportunity sets for all political activities under consideration. In each panel, the y-axis shows participation propensities in percent.

Figure 1: Smoothed Distribution (All activities)



**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Results are based on all available circumstances as displayed in Table A.1. Estimates are based on the logit estimator. All results are weighted to correct for sampling procedure and sample attrition through Wave 3 and Wave 4. The (horizontal) maroon line indicates the mean participation rate with respect to the activity of interest. The (sloped) black line illustrates the propensity of participation with types ordered by increasing propensity to participate. The 100th percentile indicates the propensity of participation for the most advantaged type; the 0 percentile the equivalent for the most disadvantaged type.

The horizontal line indicates the mean participation rate within the entire sample. The sloped line shows the smoothed distribution, i.e. the distribution of type-specific propensities to participate in the respective activity. The more dispersion in the smoothed distribution, the higher inequality of opportunity for the respective political activity. Types are arranged in order of increasing advantage along the horizontal axis. At the 0 percentile we have the most disadvantaged type, defined as the type with the lowest mean participation rate in the respective activity. At the 100th percentile we have the most advantaged type, defined analogously.

In view of the extant literature’s predominant interest in this form of political participation, let’s first focus on the activity of voting which is represented in the upmost central panel of Figure 1. In total 42.1% of the respondents stated to have turned out at the polls for the 2000 Presidential election. At first glance this appears to be a very high estimate of turnout within the age group 18-24. For instance, based on CPS data the US Census Bureau (Jamieson et al., 2002) estimates a turnout rate of 36.1% for the same age group.<sup>13</sup> At the extreme ends of the

<sup>13</sup>To some extent this difference is driven by coding differences. In the CPS refusals and non-responses are coded as non-voters (Hur and Achen, 2013), while we exclude them from the analysis. However, even when redefining the voting variable to match the CPS definition, average turnout in our sample amounts to 41.7%. Taken together these facts suggest that misreporting due to desirability bias (Ansolabehere and Hersh, 2012) is relevant in our sample.

spectrum, members of the most advantaged type in the population turned out with a probability of 94.3% while members of the most disadvantaged type turned out with a probability of 2.3%. Almost exactly half of the constructed circumstance types had a voting propensity lower (higher) than the population average.

However, Figure 1 documents that the distribution of opportunities varies strongly over the different forms of political participation. On the one hand, being registered to vote shows much less dispersion in the smoothed distribution. Members of the most disadvantaged type had a participation propensity of 4.4% while members of the most advantaged type were almost certainly (98.7%) registered for the 2000 Presidential election. Approximately 40% of the circumstance types had a participation propensity lower than the population average. Only 10% of the observed circumstance types had a participation propensity lower than 50%, indicating that only the most disadvantaged types were characterized by severe opportunity disadvantages. On the other hand, type-specific propensities for membership in political organizations appear to be much more unequally distributed. Members of the most disadvantaged type had a participation propensity of close to 0% while the most advantaged type participated with a likelihood of 62.5%. The fact that over 76% of all circumstance types had a participation propensity lower than the population average highlights the strong concentration of this form of political participation among the most advantaged types. Similar patterns can be observed for contacts to officials as well as participation in rallies and marches.

These observations are confirmed when summarizing the smoothed distribution of each political activity in a scalar measure of inequality. The upper panel of Table 2 shows the dissimilarity index for each form of political participation.

Table 2: Results Overview

Outcome	N	$\bar{O}$	Diss. Index
<b>Political Participation</b>			
Registered (2000)	8,938	72.0%	9.2%
Vote (2000)	8,910	42.1%	18.1%
Contact Official	8,971	2.7%	56.3%
Rally/March	8,970	3.0%	52.5%
Political Organization	8,947	2.0%	55.1%
Volunteer Work	8,947	28.0%	22.4%
Vote Always	8,944	23.4%	20.2%
Vote Never	8,944	34.1%	22.9%
<b>Other Outcomes</b>			
Personal Income W3 (\$)	8,491	13,278	17.1%
Personal Income W4 (\$)	8,826	33,487	16.8%
Very Good/Excellent Health	8,980	56.6%	13.8%
High School Diploma	8,980	92.9%	4.7%
(Some) Tertiary Educ.	8,978	64.8%	18.3%

**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Results are based on all available circumstances as displayed in Table A.1. Estimates for binary outcomes are based on the logit estimator. Estimates for continuous outcomes are based on ordinary least squares. All results are weighted to correct for sampling procedure and sample attrition through Wave 3 and Wave 4.  $\bar{O}$  indicates the the sample average with respect to the outcome of interest. The last column indicates the dissimilarity index for the smoothed distribution of the outcome of interest.

Among the activities under consideration voter registration is most fairly distributed from an equal opportunity perspective. The dissimilarity index attains a value of 9.2%. The reverse holds true for contacts to officials, participation in rallies and marches, and the membership in political organizations. Here only the most advantaged types engage politically, whereas the vast majority of types have a very low propensity to participate in these activities. This is reflected in dissimilarity indexes of more than 50% for these activities. Vote casting, voluntary engagement in civic organizations, being an “always-voter” or a “never-voter” take a middle ground between both extremes, with 18.1%, 22.4%, 20.2% and 22.9%, respectively.

We can link these results to the model of the individual participation calculus outlined in section 2:

$$\pi_i(c_i, e_i)B_i(c_i, e_i) - w_i(c_i, e_i) + A_i(c_i, e_i) + \sum_z D_i^z(c_i, e_i)s_i^z(c_i, e_i) > 0 \quad (16)$$

It appears that the importance of circumstances – and hence the extent of inequality of opportunity – is positively correlated with activity-specific participation costs,  $w_i(c_i, e_i)$ . For example, voter registration was greatly facilitated by the 1993 National Voter Registration Act. This bill was designed to increase turnout rates by making it mandatory for governmental offices to offer voter registration when applying for social assistance or a driver’s license. Hence, vote registration does not always require a dedicated effort on behalf of the individual but can be achieved as a by-product of other contacts with government offices. Voting itself of course requires a dedicated effort on election day. However, the cost for doing so are still rather modest in comparison to those activities that show the strongest stratification by circumstance characteristics. Contacting an official may involve the time-consuming drafting of a letter or e-mail. Attending a rally or march, participants may be bound to the demonstration location for many hours. Membership in political organizations may involve the attendance of meetings and engagement in fund-raising or mobilization campaigns. According to the individual participation calculus, these costs must be outweighed by the perceived benefits in order to make  $i$  participate in the respective activity. In view of this trade-off it must not be the case that the increased impact of circumstances for resource intensive activities works directly through participation cost,  $w_i(c_i, e_i)$ . Alternatively, it could also be the case that the perceived benefits for costly forms of political participation are more strongly stratified by circumstances than for less costly activities. For example, it may very well be the case that social signaling effects,  $s_i^z(c_i, e_i)$ , for membership in political organizations are much more stratified by family background than for the act of voting. Individuals who grew up in a political family send a much stronger “praiseworthy” signal by engaging in a political organization than if they just turned out at the polls on election day. Similar examples can be constructed for the other elements of the individual participation calculus as well. To be sure, it is beyond the ambit of this work to discriminate between those different mechanisms let alone to quantify their individual importance. This interesting task must be left for further research. Regardless of the specific mechanism at work, however, our results are consistent with the findings of Bénabou (2000), who shows that the importance of socio-economic background varies across political activities due to the different nature and amounts of the inputs required: the more costly the mode of participation, the stronger the stratification by socio-economic status, the formation of which is again strongly stratified by circumstance factors.

**Complementarity and Age Convergence.** To this stage it has been shown that inequality of opportunity in political participation does exist to varying degrees along the activities of interest. In the following we want to address two potential objections that could challenge the import of our findings.

First, concerns about existing injustices in the democratic process could be mitigated if opportunity sets in political activities were substitutes rather than complements. In the case of substitutability, a disadvantaged type in one dimension would be among the advantaged types in other dimensions. For instance one could imagine that types lacking trust in elected institutions prefer to advocate their interest in form of rallies and protest marches instead of drafting a petition to a government representative. Therefore, these types would not be cut out from the political realm on opportunity grounds per se. Rather one would conclude that different types use different channels of political participation. To the contrary, in the case of complementarity a disadvantage in one dimension would be accompanied by disadvantages in all other dimensions as well. The upper panel of Table 3 lists correlations of type-specific propensities for all modes

of participation drawn from Wave 3 of Add Health.

Table 3: Type-Specific Propensity Correlations

	Registered (2000)	Vote (2000)	Contact Official	Rally/ March	Volunteer Work	Political Org.	Vote Never	Vote Always
<b>Wave 3 (01/02)</b>								
Registered (2000)	1.000							
Vote (2000)	0.778	1.000						
Contact Official	0.290	0.363	1.000					
Rally/ March	0.316	0.371	0.444	1.000				
Political Org.	0.251	0.259	0.349	0.357	1.000			
Volunteer Work	0.475	0.571	0.411	0.419	0.368	1.000		
<b>Wave 4 (08)</b>								
Vote Never	-0.723	-0.791	-0.321	-0.377	-0.242	-0.537	1.000	
Vote Always	0.519	0.578	0.283	0.395	0.261	0.393	-0.696	1.000

**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Pearson correlation coefficients are calculated based on the smoothed distributions as displayed in Figure 1. All coefficients are weighted to account for sampling procedure and sample attrition through Wave 3 and Wave 4. All coefficients are significant at the 1%-level.

The fact that all correlations are significantly positive points to the conclusion that opportunities for different political activities are complements rather than substitutes: a high type-specific propensity to vote goes hand in hand with a higher propensity to contact an official, to participate in a rally and to engage in both political and civic organizations. The correlation coefficients between being registered to vote, voting and volunteer work are higher than for the resource intensive modes of participation. Recalling the differences in the smoothed distributions across the different activities (Figure 1), this pattern is unsurprising. While the former activities are taken up relatively broadly across the type distribution, the latter are prevalent among the most advantaged circumstance types only. Hence, even if having an above-average propensity to register to vote, to turn out at the polls, or to engage in voluntary work, it is very probable that the propensity to contact an official, to participate in a rally or march or to join a political organization remains below the population average.

The second potential objection goes as follows: it has been shown that initial differences in political behavior tend to converge over the life cycle irrespective of socio-economic characteristics (Plutzer, 2002). Therefore, concerns about existing injustices could be mitigated if opportunity sets in political activities quickly converged over the life cycle of citizens. Since the results presented thus far are exclusively based on respondents aged 18-24, some may argue that they represent inequality of opportunity in *political initiation* rather than political participation tout court. To address this concern we can make use of the participation categories *Vote Never* and *Vote Always*. As outlined in section 3, the question on the regularity of participation in local and statewide elections is drawn from Wave 4 of Add Health, i.e. when each respondent was six years older in age compared to the previous wave. In spite of the fact that these questions on voting behavior are not directly comparable to the modes of participation considered in Wave 3, we can infer that unequal opportunities continue to exist in Wave 4. Furthermore, the lower panel of Table 3 shows that types with a higher propensity to engage politically in Wave 3, are also more likely to consider themselves “always-voter” in Wave 4. Reversely, being a “never-voter” is consistently negatively correlated with political engagement in the previous wave. The pattern holds across all modes of political participation under consideration. This finding is consistent with mounting evidence on habit formation in political participation (Fujiwara et al., 2016). These works typically use exogenous transitory shocks on the cost of political participation, such as rainfall on voting day, to predict the long-term consequences of one-time abstention on the exercise of political rights. The set of individual characteristics that we show to be strong determinants of political participation arguably are much more fundamental determinants of political participation than the one-time non-exercise of democratic rights. In light



of these findings our results suggest a sizable “fixed effect” of opportunity disadvantages over the individual’s life cycle.

To conclude, neither is it the case that political opportunities across different activities substitute each other, nor do type-specific propensities to engage politically quickly converge over the time span observed. Being member of a politically active type in one dimension of political participation, increases the likelihood of being politically active in other dimensions as well. Similarly, there appears to be a time constant fixed effect in political participation. That is, being member of a politically active type in one period, increases the likelihood of being politically active in later life as well. Evidently, the latter observation is not conclusive in view of the fact that we do not observe individuals over the entire life cycle. Yet for the time being, the normative concern implicit in our baseline results remains in place.

**Comparison to Other Outcomes.** For the purpose of obtaining a better understanding of the relative magnitude of inequality of opportunity in political participation, we compare our results against estimates for other outcome dimensions that have been extensively researched in the extant literature. These dimensions include gross personal income in Wave 3 and Wave 4 and self-perceived health in Wave 4. In terms of educational outcomes we focus on whether an individual graduated from high school and whether she obtained at least some tertiary education. The results are presented in the lower panel of Table 2.

Average incomes in Wave 3 are less than half of their analogues in Wave 4. This reflects the age pattern in our sample as respondents increasingly transition from tertiary education to professional life. In spite of these level differences, the dissimilarity index in both waves amounts to approximately 17%. 56.6% of our sample feel in very good to excellent health, whereas 13.8% of the observed variation must be attributed to differences across circumstance types and thus inequality of opportunity. In terms of education, almost all respondents graduated from High School while stratification by circumstances was very low (4.7%). With respect to inequality of opportunity in tertiary education, the dissimilarity index reaches a level of 18.3%.

Hence, the magnitude of inequality of opportunity in voting is roughly comparable to inequality of opportunity in income acquisition and tertiary education. In all three outcome dimensions between 16% and 18% of the observed variation must be attributed to differences in opportunity sets. However, inequality of opportunity for all non-political outcomes fall considerably short of inequality of opportunity in the most unjustly distributed dimensions of political participation: contacts to officials, participation in rallies and marches and engagement in political organizations. For these dimensions the estimates of inequality of opportunity exceed all their non-political analogues by more than double.

**Sensitivity Analysis.** In the following we subject our results to a number of sensitivity checks. Column 3 of Table 4 restates our baseline results. The baseline estimate is constructed from the logit estimation in equation (12). In order to demonstrate the robustness of our results to different distributional assumptions, we present estimates based on probit models in the fourth column of Table 4. The differences are negligible.

Table 4: Sensitivity Analysis

Outcome	Baseline		Estimation		Inequality Index			
	N	Diss. Index	Probit	PCA	Gini	Diss. Index (Abs.)	Gini (Abs.)	Variance
Registered (2000)	8,938	9.2%	9.1%	6.9%	0.127	0.066	0.091	0.028
Vote (2000)	8,910	18.1%	18.0%	14.0%	0.249	0.076	0.105	0.034
Contact Official	8,971	56.3%	57.1%	40.2%	0.724	0.015	0.020	0.003
Rally/March	8,970	52.5%	52.6%	35.8%	0.683	0.016	0.021	0.003
Political Organization	8,947	55.1%	56.5%	32.8%	0.712	0.011	0.014	0.002
Volunteer Work	8,947	22.4%	22.2%	18.4%	0.308	0.063	0.086	0.024
Vote Always	8,944	20.2%	20.3%	13.0%	0.281	0.047	0.066	0.014
Vote Never	8,944	22.9%	22.7%	17.4%	0.313	0.078	0.107	0.036

**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Results are based on all available circumstances as displayed in Table A.1. All results are weighted to correct for sampling procedure and sample attrition through Wave 3 and Wave 4. The third column shows the baseline estimates as displayed in Table 2. Columns 4-5 show variations in the estimation strategy. Columns 6-9 show the aggregation of the smoothed distributions as displayed in Figure 1 by different inequality indexes.

Recently Brunori et al. (2018) have argued that lower bound inequality of opportunity measures may be upward biased if the number of estimated coefficients is large relative to the available degrees of freedom. According to their argument, increasing the number of circumstances leads to less downward bias but on the other hand increases the estimate variance since less variation is available for estimating each circumstance coefficient. To address this concern we condense the information inherent in our full set of circumstances by means of a principal component analysis (PCA, Hastie et al., 2013). Note that we would exactly recover our baseline estimates if we included the full set of 151 components. With every removal of a component we mechanically obtain a decrease of our inequality of opportunity estimates. In column 5 of Table 4 we present results based on the retention of the first 20 principal components. Hence, while keeping the sample size constant we reduce the number of coefficients to be estimated from 151 to 20. As expected the estimates decrease for every dimension of political participation. Nevertheless, our conclusions that unequal opportunities are most pronounced for costly forms of participation as well as the relative magnitudes with respect to other outcome dimensions, such as income, health and education remain in place.

The last four columns of Table 4 are dedicated to different inequality indexes. The smoothed distributions are constructed in the exact same fashion as in our baseline estimates (see also Figure 1), while the inequality indexes are different ways of summarizing the inherent information. First, we show results for the Gini index. Here, the relative magnitudes of inequality of opportunity among the different dimensions of political participation remain the same as with the dissimilarity index. Both the Gini and the dissimilarity index are scale invariant inequality measures, i.e. they are invariant to proportional changes in  $p_i$  for all constituents of the population. Recently it has been argued that *scale invariance* should be abandoned in favor of *translation invariance* if the outcome of interest is dichotomous (Wendelspiess Chávez Juárez and Soloaga, 2015). Translation invariant inequality measures do not change if we alter  $p_i$  for all constituents by the same absolute amount. As a consequence, our measure of inequality of opportunity would not change if we redefined the outcome of interest from political participation to political *non*-participation.<sup>14</sup> In general, scale invariance is satisfied by relative inequality measures, while translation invariance is satisfied by absolute inequality measures. To account for these concerns we present results based on absolute inequality measures in the last three columns of Table 4. We use absolute versions of both the dissimilarity and the Gini index as well as the variance. When

<sup>14</sup>This re-coding would be achieved by subtracting the constant “-1” from all observed outcomes and taking absolute values. Then, all participating individuals would obtain “0” in terms of the outcome “non-participation” and all non-participating individuals a corresponding “1”.

using these indexes inequality of opportunity is lowest for the dimensions of interest for which we have found the highest estimates based on the relative inequality measures.<sup>15</sup> Due to translation invariance we find low inequality of opportunity for contacts to officials, participation in rallies and marches as well as political organizations since the majority of types are equal in their low propensity to engage in these activities. To put this reversal into perspective, recall that the underlying distribution of type-specific propensities remains unaltered (Figure 1). Thus, it is still the case that only a small minority of advantaged circumstance types takes up those political liberties. However, we acknowledge that perceptions of whether one should prefer scale or translation invariance may vary. For example, using a vignette design Amiel and Cowell (1999) find that the majority of experimental subjects concurs with scale invariance when judging inequality in outcome distributions – especially if the level of average advantage in a society is low. In line with this perception we use the scale invariant inequality indexes for our baseline estimate.

## 5 Underlying Mechanisms

It is important to note that it is beyond the scope of the current analysis to establish causal claims on the influence of specific circumstances on the existing political opportunity structure in the US. To guide policy, however, it is indispensable to move beyond the exploratory approach of the current analysis and to gain an understanding of the mechanisms at play.<sup>16</sup> We proceed in three steps. First, we provide a more thorough discussion of the influence of genetic circumstances on equality of opportunity. Second, we conduct a decomposition exercise to quantify the contribution of different circumstance groups to inequality of opportunity as presented in Table 2. Lastly, we analyze the extent to which circumstances exert their impact through effort variables that are commonly referred to as strong predictors of political participation.

**Genetics and Equality of Opportunity.** This is the first work that explicitly exploits genetic variation in the measurement of equality of opportunity. There is philosophical controversy on whether the genetic endowment of a person provides a ground for compensation. Clearly, genes are part of the natural lottery and therefore beyond individual control. Yet some argue that the ethical principle of self-ownership takes priority over the value of equal opportunities, leading to the conclusion that people have a legitimate claim on life outcomes rooted in their genetic make-up. For instance, in his seminal contribution, Rawls (1971) argues that “fair equality of opportunity” only requires compensation for social circumstances, but not for natural circumstances. To date the empirical literature on equality of opportunity at most accounts for proxy variables of genetic circumstances. Björklund et al. (2012), for instance, use IQ measured at age 18. Yet, as the authors remark, it is not clear to what extent such ability measures reflect nature (genetic endowments) or nurture (childhood circumstances).

Human genetic information is stored on 46 chromosomes, half of which are received from each of the biological parents, respectively. Chromosomes contain chains of the macromolecule deoxyribonucleic acid (DNA). DNA is composed of two strands of sugar and phosphate molecules that are connected by corresponding base pairs. Adenine (A) always pairs with thymine (T) while guanine (G) always pairs with cytosine (C). The two strands coil around each other to form the famous double helix structure. In total, one set of chromosomes consists of 3.3bn base pairs of which 3% are protein coding (exons), whereas the remainder is believed to have a regulatory

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<sup>15</sup>This reversal is mechanical since the absolute versions of Gini and Dissimilarity Index are calculated by multiplying the relative version by the mean participation level. As a consequence, participation forms with high means have relatively higher absolute measures of inequality of opportunity than participation forms with lower means.

<sup>16</sup>For instance Kanbur and Wagstaff (2016) question the policy relevance of the existing equality of opportunity literature on these grounds.

function (introns). Genes are segments of the DNA that are involved in the coding of proteins. Genetic differences are denoted as alleles (or polymorphisms). As one chromosome is inherited from each parent, children also inherit one allele for a particular gene from each parent.

Add Health provides two different sorts of genetic markers:<sup>17</sup> variable number tandem repeats (VNTR) for six genes (MAOA, DRD4, DAT1, DRD5, MAOCA1, HTTLPR) and single-nucleotide polymorphisms (SNP) in the genes HTTLPR, DRD2, COMT and 5HTT. VNTRs code repeats of base pair sequences on a gene. For instance, the enzyme monoamine oxidase A (MAOA) is involved in the degradation of serotonin in the brain. It is coded on the gene MAOA, which contains a 30 base pair sequence that varies between 2 and 5 repeat units depending on the allelic expression. The two repeat (2R) and the three repeat (3R) expression are believed to be more efficient in the transcription of the necessary amino acids for the formation of the MAOA enzyme than the alternative expressions. Deficiencies in the degradation of serotonin have been shown to be negatively correlated with pro-social behaviors, which in turn led political scientists to hypothesize that low-expressing MAOA VNTR's lead to lower degrees of political participation (Fowler et al., 2008).

Instead of recording genetic variation with respect to base pair repeats, SNPs indicate alterations in the base pairs at a particular locus. For instance, the SNP rs12945042 refers to the 5HTT gene. At this particular location of the DNA, the majority base pair C-G is replaced by a T-A base pair in the minority allele. Analogously to MAOA, 5HTT is involved in the degradation of serotonin. Thus, to the extent that one allele is more transcriptionally efficient than the other, we would expect differential political participation across the carriers of the different allele expressions. Note that in contrast to VNTRs genetic variation due to SNPs can take at most three expressions. A person can inherit the minor allele from none, one, or both biological parents. For one gene (HTTLPR) we use a combination of both VNTRs and SNPs. Previous research has shown that a minor allele SNP (G) on long versions of the HTTLPR VNTR is less active than long versions with the more common variant (A). Thus shorter versions of this VNTR should be analyzed jointly with long versions that carry the minor allele SNP. The more active alleles are indicated as L' while the less active alleles are coded as S' (see Table A.1).

In general the genetic information in Add Health is relatively limited. To date genome-wide sequencing has detected 84.7mn SNPs and 60,000 structural variants of which VNTRs are a subset (Altshuler et al., 2015). Thus, the genetic circumstance set employed in this study is far from capturing the entirety of genetic variation causally related to political participation.<sup>18</sup>

Table 5 shows the contribution of genetic variation to inequality of opportunity in political participation. Columns 2-3 of each panel show the baseline estimate for each dimension of interest as displayed in Table 2. Columns 3-5 show the contribution of genetic circumstances to our baseline results. The p-values in parenthesis refer to tests of the null hypothesis that the contribution of genetic circumstances equals zero. To account for the fact that genetic circumstances are correlated with non-genetic circumstances we provide an upper and a lower bound for their contribution. To construct the upper bound we denote the vector of genetic circumstances by  $c_i^{\text{Gen}}$  and modify equation (12) as follows:

$$\ln\left(\frac{p_i}{1-p_i}\right) = \left(\sum_j \beta_j c_{ij}^{\text{Gen}}\right). \quad (17)$$

<sup>17</sup>For more information on genetic markers in Add Health see Smolen et al. (2013).

<sup>18</sup>Obviously this will lead us to underestimate the impact of genetic circumstances. To some extent this downward bias is mitigated by the fact that alleles are in linkage disequilibrium. This property states that the correlation of alleles increases with their proximity on the respective chromosome (Altshuler et al., 2015). It will bias the point estimates of the specific genetic variants upwards but brings us closer to the true amount of variation in political participation explained by genetic information.

Note that it is an upper bound estimate for the impact of genetics since the construction of the smoothed distribution is based on genetic circumstances, only. Thus, we implicitly allocate the correlation between genetic circumstances and all remaining circumstances to the former group. To construct the lower bound contribution of genetic circumstances, we allocate the correlation between genetic circumstances and non-genetic circumstances to the latter group. Denoting the vector of non-genetic circumstances by  $c_i^{\text{NoGen}}$ , we construct a smoothed distribution by modifying equation (12) as follows:

$$\ln\left(\frac{p_i}{1-p_i}\right) = \left(\sum_j \beta_j c_{ij}^{\text{NoGen}}\right). \quad (18)$$

Thus, by excluding genetic circumstances from this regression, we implicitly allocate the correlation between genetic circumstances and all remaining circumstances to the latter group. The lower bound measure for the contribution of genetic circumstances is then obtained by subtracting the ensuing inequality of opportunity estimate from the baseline estimate. The lower bound estimate thus indicates the impact of genetic circumstances that is orthogonal to all other non-genetic circumstances.

We find that a relatively small fraction of inequality of opportunity is explained independently by the set of available genetic markers. For example, with respect to voting in the 2000 Presidential election, at most 4.2 percentage points of our baseline estimate (18.1%) can be attributed to genetic variation. This finding is unsurprising in view of the paucity of genetic information in our data set. Political participation is a highly polygenic trait, i.e. a large amount of genetic variants with very small individual effect sizes explain the heritability of political participation. For comparison take a recent genome-wide association study that investigated genetic variants associated with educational attainment (Okbay et al., 2016). The authors found 74 SNPs that showed a significant association with educational attainment measured in years of schooling. Jointly these SNPs explained only 0.43% of the observed variation in the outcome variable while the strongest association of a single SNP yielded a  $R^2$  of 0.035%. Nevertheless, taking account of genes provides a non-negligible and statistically significant upwards correction of inequality of opportunity in all considered outcome dimensions. In the case of voting, inequality of opportunity increases by 0.5 percentage points. Or put reversely, had we no information on genes in our data set, the estimate for inequality of opportunity in voting would amount to 17.6% instead of 18.1%.

To confirm the importance of genetic information we repeat this procedure for other outcomes that are prominent in the literature: personal gross income, self-rated health status and two measures of educational achievement. Again the genetic circumstance set causes a statistically significant upward correction of inequality of opportunity in each dimension of interest. This finding is particularly relevant as most applied research on equality of opportunity relies on a lower bound estimation method (Niehues and Peichl, 2014). The information we use with respect to childhood circumstances is already comprehensive in comparison to previous works on inequality of opportunity. Thus, one could have expected that much of the genetic variation was already reflected in the set of childhood circumstances which are shaped subsequent to the natural lottery of distributing genetic endowments. The fact that genetic information still provides an independent upward correction of inequality of opportunity indicates that the increasing availability of large-scale genetic data sets may be fruitfully exploited in future empirical works on inequality of opportunity.<sup>19</sup> Add Health itself has to sequenced its available saliva samples,

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<sup>19</sup>Furthermore, it is conceivable to use genetic data to refine empirical estimates of inequality of opportunity with respect to different philosophical accounts. To the extent that childhood circumstances are correlated with genetic endowments, current estimates of inequality of opportunity implicitly treat returns to genetic endowments as ethically objectionable and thus take a contested normative standpoint. To correct for this shortcoming

which will make avail genome-wide information that goes far beyond the candidate genes used in this study. Once available, this data could be used to construct polygenic risk scores (Dudbridge, 2013) that compile relevant genetic information for thousands of SNPs into one index variable.

Table 5: Genetic Influence

Outcome	Baseline		Genetic Influence		
	N	Diss. Index	Scenario	Contrib.	(p-value)
<b>Political Participation</b>					
Registered (2000)	8,938	9.2%	Upper Bound	2.2pp	(0.000)
			Lower Bound	0.3pp	(0.007)
Vote (2000)	8,910	18.1%	Upper Bound	4.2pp	(0.000)
			Lower Bound	0.5pp	(0.006)
Contact Official	8,971	56.3%	Upper Bound	21.8pp	(0.000)
			Lower Bound	3.4pp	(0.000)
Rally/March	8,970	52.5%	Upper Bound	18.9pp	(0.000)
			Lower Bound	3.0pp	(0.001)
Political Organization	8,947	55.1%	Upper Bound	23.3pp	(0.000)
			Lower Bound	4.2pp	(0.001)
Volunteer Work	8,947	22.4%	Upper Bound	5.5pp	(0.000)
			Lower Bound	0.7pp	(0.007)
Vote Always	8,944	20.2%	Upper Bound	8.0pp	(0.000)
			Lower Bound	1.0pp	(0.003)
Vote Never	8,944	22.9%	Upper Bound	7.3pp	(0.000)
			Lower Bound	0.8pp	(0.000)
<b>Other Outcomes</b>					
Personal Income W3 (\$)	8,491	17.1%	Upper Bound	4.9pp	(0.000)
			Lower Bound	0.5pp	(0.012)
Personal Income W4 (\$)	8,826	16.8%	Upper Bound	6.4pp	(0.000)
			Lower Bound	0.7pp	(0.006)
Very Good/Excellent Health	8,980	13.8%	Upper Bound	3.5pp	(0.000)
			Lower Bound	0.3pp	(0.008)
High School Diploma	8,980	4.7%	Upper Bound	1.3pp	(0.000)
			Lower Bound	0.1pp	(0.000)
(Some) Tertiary Educ.	8,978	18.3%	Upper Bound	3.7pp	(0.000)
			Lower Bound	0.1pp	(0.033)

**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Estimates for binary outcomes are based on the logit estimator. Estimates for continuous outcomes are based on ordinary least squares. All results are weighted to correct for sampling procedure and sample attrition through Wave 3 and Wave 4. The third column shows the baseline estimates as displayed in Table 2. Column 4-6 show the contribution of genetic circumstances (Table A.1) to the baseline estimate. The last column shows the p-value for the null hypothesis of no genetic influence. The underlying standard errors are derived from 500 bootstrap repetitions.

**Shapley Value Decomposition.** Turning to the full set of circumstance groups, we use the Shapley value decomposition methodology proposed by Shorrocks (2012) to display which circumstance group provides the strongest contribution to inequality of opportunity as presented in Table 2. In contrast to other decomposition methodologies, the Shapley value procedure overcomes the issue of path-dependency in evaluating different contribution factors. Therefore, it delivers unbiased and additive decomposition results, i.e. the calculated contributions sum to the total measure of inequality. We implement the decomposition as follows. There are 9 circumstance groups: demographics, family, social life, neighborhood, school, ability, physical condition, psychological condition and genetic endowment. Starting from the full circumstance

one could adjust the empirical framework used in this work. Similar to our approach one would use genetic circumstances as controls in equation (12). However, subsequently they would be neglected in the construction of the smoothed distribution. The result would be the *true* measure of inequality of opportunity net of genetic influence as coefficients on childhood circumstances were no longer biased by correlations with antecedent genetic factors. This procedure, however, requires a data set with genetic information akin to the one used for the purpose of this analysis.

set, we now sequentially eliminate each circumstance group and re-run the estimation procedure outlined in section 2. To take account of the inherent path dependency we repeat this exercise for each possible elimination sequence. We difference the results for the dissimilarity indexes prior to and after the elimination of each circumstance group. Calculating the weighted average over all possible elimination sequences then gives the effect of a circumstance group. The second column of Table 6 shows the baseline estimate of inequality of opportunity in the respective political activity. The last three columns indicate the contribution of the circumstance groups, both in terms of absolute percentage points and in contribution shares. We limit the presentation of the results to the top three circumstance groups per outcome dimension. The full list of results is annexed in Table A.3.

Table 6: Shapley Value Decomposition

Outcome	Baseline		Contribution		
	N	Diss. Index	Circumstance Group	Abs.	in %
Registered (2000)	8,938	9.2%	Family	1.9pp	21.0%
			Demographics	1.6pp	17.3%
			Psychological Condition	1.5pp	16.8%
Vote (2000)	8,910	18.1%	Family	3.9pp	21.8%
			Psychological Condition	3.7pp	20.6%
			Demographics	2.6pp	14.2%
Contact Official	8,971	56.3%	Family	11.3pp	20.1%
			Ability	10.5pp	18.7%
			Psychological Condition	9.3pp	16.5%
Rally/March	8,970	52.5%	Family	12.9pp	24.7%
			Psychological Condition	10.1pp	19.3%
			Ability	6.4pp	12.2%
Political Organization	8,947	55.1%	Psychological Condition	10.1pp	18.3%
			Family	10.1pp	18.3%
			Genetic Endowment	8.0pp	14.5%
Volunteer Work	8,947	22.4%	Psychological Condition	5.0pp	22.5%
			Family	5.0pp	22.2%
			Ability	2.8pp	12.3%
Vote Always	8,944	20.2%	Family	4.5pp	22.4%
			Psychological Condition	3.6pp	17.6%
			Genetic Endowment	2.6pp	13.0%
Vote Never	8,944	22.9%	Family	5.3pp	23.1%
			Psychological Condition	4.2pp	18.5%
			Ability	3.2pp	13.7%

**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Results are based on all available circumstances as displayed in Table A.1. Estimates are based on the logit estimator. All results are weighted to correct for sampling procedure and sample attrition through Wave 3 and Wave 4. The third column shows the baseline estimates as displayed in Table 2. Columns 4-6 show the contribution of the respective circumstance set (Table A.1) to the baseline estimate.

For each activity the results are ordered in decreasing magnitude of contribution. Among the circumstance groups under consideration, *Family* stands out as the one group that consistently explains above 20% of inequality of opportunity in political participation. The only exception is membership in political organizations, for which family factors explain only 18.3% of total inequality of opportunity. This finding is consistent with previous studies that have confirmed the particular importance of parental factors in the intergenerational transmission of political participation (Brady et al., 2015). Furthermore, the circumstances related to the child’s psychological condition are the second most important contributors in four out of nine modes of political participation. For membership in political organizations and volunteering, these circumstances are even the the strongest contributors to the observed differences in opportunity sets. Hence, our findings are consistent with previous research that considers psychological factors as important determinants of political participation (Finkel, 1985; Ojeda, 2015). The Shapley value decomposition furthermore confirms the non-negligible influence of genetic factors. With respect to membership in political organizations and being an “always-voter”, the group of genetic

circumstances ranks as the third most important contribution factor to inequality of opportunity.

**Direct and Indirect Effects.** In a last step, we evaluate to what extent inequality of opportunity in the different dimensions of political activity is driven by the influence of circumstances on some intermediate outcomes. For example, it is well established that political participation is stratified by educational achievement (Milligan et al., 2004). Furthermore, the existence of inequality of opportunity in educational achievement is equally well documented (Ferreira and Gignoux, 2014). If the impact of circumstances worked entirely through educational achievement, inequality of opportunity in political participation was a mere corollary of inequality in educational opportunities and an adequate policy response to unequal political opportunities would be congruent with the elimination of unequal educational opportunities.

In order to disentangle the direct influence of circumstances on political participation from the indirect influence through intervening variables we introduce a set of variables that have been identified as important determinants of political participation in the extant literature. First, we measure ability by the respondent’s Picture Vocabulary Test (PVT) score in Wave 3. Second, we proxy educational attainment by whether individuals graduated from high school and whether they had some tertiary education. Third, we use personal income as reported in Wave 3 as a further indicator for socio-economic status. Fourth, we construct a binary variable for institutional trust which takes value one if a person claims to trust the government at either central, state or local level.<sup>20</sup> Fifth, we use a binary indicator for whether an individual identifies with any particular party. Summary statistics for these variables are displayed in Table A.4.

To assess the extent to which our aggregate results are mediated by these intervening variables, we proceed analogously to the quantification of genetic influence. The direct influence of circumstances is given by the effect that is orthogonal to the influence of the intervening variables. Hence, to clean circumstance coefficients from the correlation between circumstances and the set of intervening variables we estimate

$$\ln\left(\frac{p_i}{1-p_i}\right) = \sum_{j=1}^{q_c} \beta_j c_{ij} + \sum_{k=1}^{q_e} \gamma_k e_{ik}, \quad (19)$$

while constructing the smoothed distribution as follows

$$\mu_i^{T^k}(p) = \frac{\exp(\sum_j^{q_c} \hat{\beta}_j c_{ij})}{1 + \exp(\sum_j^{q_c} \hat{\beta}_j c_{ij})}. \quad (20)$$

The indirect effect is given by the difference between our baseline estimate and the direct effect. It measures the correlation between circumstances and the set of intervening effort variables. This decomposition exercise is reminiscent of the procedure outlined in Bourguignon et al. (2007).

In line with Jusot et al. (2013), there is also a normative interpretation to this procedure. Note that all intervening variables are (partly) due to individual effort. In our baseline estimates (see equations (12) and (13)) the correlation between these effort variables and the set of circumstances is picked up by the coefficients on circumstances and thus allocated to the unfair determinants of political participation. The baseline approach thus corresponds to the ethical view put forward by Roemer (1998), according to which people are not held responsible for efforts specific to their circumstance type. To the contrary, controlling for the set of effort variables implicitly allocates the correlation between circumstances and effort to the fair determinants of political participation. Hence, the approach outlined in equations (19) and (20) corresponds to

<sup>20</sup>In principle we could measure trust at each of the three levels and consider them independently. As trust in the different levels of government is highly collinear (correlations of over 80%), we prefer to rely on the aggregate measure of institutional trust.



the ethical view of Barry (2005), in which people are held responsible for their efforts regardless of how they are formed.

Table 7 shows the decomposition of the overall influence of circumstances into its direct and its indirect component. Our baseline estimates are again given in columns 2-3. The inclusion of intervening effort variables as illustrated in equation (19) leads to a sizable sample size reduction by approximately 500 observations, however, without affecting the magnitude of our results in a noteworthy fashion. The last three columns of Table 7 show the decomposition of our estimation results based on this reduced sample. On the one hand, the indirect effect of circumstances through intervening effort variables is sizable and the non-significance of indirect effects can be rejected for all political activities under consideration. In particular, with respect to voting in the 2000 Presidential election, doing volunteer work and being a “never-voter”, indirect effects account for approximately one third of our inequality of opportunity estimates. On the other hand, however, the direct effect of circumstances is the stronger contributor to inequality of opportunity across all other dimensions of political participation. Clearly, circumstances have a significant impact on political participation even beyond their influence on ability, education, income, institutional trust and identification with political parties. Thus, policymakers that strive to level the playing field with respect to political participation cannot just rely on the eradication of income and education differences. Neither is it sufficient to foster trust and identification with the players in the political system. To the contrary, our results suggest that inequality of opportunity in political participation is not a just a mere corollary of inequality of opportunity in these intervening variables. Hence, leveling the playing field for political participation requires dedicated policy responses in their own right that mitigate the influence of circumstances even before citizens obtain the legal age to exercise their democratic voice.

Table 7: Direct vs. Indirect Circumstance Influence

Outcome	Baseline		Reduced Sample		Direct and Indirect Influence		
	N	Diss. Index	N	Diss. Index	Channel	Contrib.	(p-value)
Registered (2000)	8,938	9.2%	8,378	9.0%	Direct	6.6pp	(0.000)
					Indirect	2.3pp	(0.000)
Vote (2000)	8,910	18.1%	8,356	18.3%	Direct	11.8pp	(0.000)
					Indirect	6.5pp	(0.000)
Contact Official	8,971	56.3%	8,402	56.1%	Direct	44.2pp	(0.000)
					Indirect	11.9pp	(0.000)
Rally/March	8,970	52.5%	8,401	52.1%	Direct	42.4pp	(0.000)
					Indirect	9.7pp	(0.000)
Political Organization	8,947	55.1%	8,387	54.7%	Direct	48.8pp	(0.000)
					Indirect	5.9pp	(0.018)
Volunteer Work	8,947	22.4%	8,387	22.4%	Direct	14.1pp	(0.000)
					Indirect	8.3pp	(0.000)
Vote Always	8,944	20.2%	8,371	20.1%	Direct	16.7pp	(0.000)
					Indirect	3.4pp	(0.000)
Vote Never	8,944	22.9%	8,371	23.6%	Direct	15.9pp	(0.000)
					Indirect	7.7pp	(0.000)

**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Results are based on all available circumstances as displayed in Table A.1. Estimates are based on the logit estimator. All results are weighted to correct for sampling procedure and sample attrition through Wave 3 and Wave 4. Columns 2-3 show the baseline estimates as displayed in Table 2. Columns 4-5 show the estimates based on a reduced sample for which information on intervening effort variables (Table 2) is available. Columns 6-8 show the direct and indirect contribution of circumstances to the estimates presented in Columns 4-5. The last column shows the p-value for the null hypothesis of no (in)direct influence. The underlying standard errors are derived from 500 bootstrap repetitions.

## 6 Conclusion

In this work, we have presented the first estimates of inequality of opportunity in political participation. Using rich panel data from the US that allows us to track children into adulthood we have used circumstance variables, i.e. factors beyond individual control, from nine different areas (demographics, family, social life, neighborhood, school, ability, physical condition, psychological condition, genetic endowment) to partition the sample into types. Based on this type partition we have constructed counterfactual distributions that are indicative of differences in opportunity sets across circumstance types. In line with the extant literature these differences are interpreted as measures of inequality of opportunity in political participation.

We found that political opportunities are particularly unjustly distributed with respect to contacts to officials, participation in rallies and marches, and the membership in political organizations. Furthermore, we have shown that a lack of opportunity in one dimension is complemented by restricted opportunities in other dimensions of political participation and that these inequalities do not vanish following the phase of political initiation. Among the different factors influencing inequality of opportunity in political participation, the family background and psychological dispositions during the childhood of individuals stand out as the factors that consistently contribute in an important manner to all considered forms of political participation.

The integration of genetic circumstances yields a relatively small, yet statistically significant upward correction of our lower bound inequality of opportunity estimates. This suggests that much of the variation due to the genetic lottery is reflected in circumstances that are observed without genotype information. Nevertheless it is important to recall that the amount of genetic information used in this study is rather limited. The human genome is believed to consist of about 25,000 genes (Plomin et al., 2008) of which we cover only a tiny fraction in our genetic circumstance set. Thus the amount of genetic influence on inequality of opportunity may be shown to be greater in future research as the availability of genetic databases expands. The indirect influence of circumstances through intervening effort variables that are commonly assumed to be good predictors of political participation is non-negligible. Yet, most of the circumstance influence is orthogonal to these intervening variables. Going beyond the reduced form estimates presented in this work and illustrating the causal impact of circumstance characteristics on the single determinants of the individual participation calculus thus provides an interesting avenue for future research.

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## A Appendix

Table A.1: Circumstance Variables (Summary Statistics)

	N	Mean	SD	Min	Max
<b>Demographics</b>					
Female	12,288	0.494	0.500	0.000	1.000
Race: White	12,282	0.704	0.457	0.000	1.000
Race: Black	12,282	0.153	0.360	0.000	1.000
Race: Asian	12,282	0.032	0.175	0.000	1.000
Race: Other Non-White	12,282	0.111	0.315	0.000	1.000
Born in US	12,286	0.947	0.223	0.000	1.000
Birth/Year: '77	11,489	0.182	0.386	0.000	1.000
Birth/Year: '78	11,489	0.174	0.379	0.000	1.000
Birth/Year: '79	11,489	0.182	0.386	0.000	1.000
Birth/Year: '80	11,489	0.183	0.387	0.000	1.000
Birth/Year: '81	11,489	0.165	0.372	0.000	1.000
Birth/Year: >'81	11,489	0.112	0.316	0.000	1.000
<b>Family</b>					
Orphan (Mother)	12,288	0.024	0.154	0.000	1.000
Orphan (Father)	12,288	0.102	0.303	0.000	1.000
No Father in HH	12,288	0.272	0.445	0.000	1.000
No Mother in HH	12,288	0.054	0.226	0.000	1.000
No Siblings	12,288	0.228	0.420	0.000	1.000
# Siblings: 1-2	12,288	0.627	0.484	0.000	1.000
# Siblings: >2	12,288	0.145	0.352	0.000	1.000
English @ Home	12,286	0.932	0.253	0.000	1.000
Educ. Mom: HS/Voc. School/GED	12,285	0.405	0.491	0.000	1.000
Educ. Mom: College Dropout	12,285	0.117	0.321	0.000	1.000
Educ. Mom: College/Professional	12,285	0.237	0.426	0.000	1.000
Not in HH/Don't Know/No Degree	12,285	0.241	0.428	0.000	1.000
Educ. Dad: HS/Voc. School/GED	12,283	0.282	0.450	0.000	1.000
Educ. Dad: College Dropout	12,283	0.081	0.272	0.000	1.000
Educ. Dad: College/Professional	12,283	0.216	0.412	0.000	1.000
Not in HH/Don't Know/No Degree	12,283	0.421	0.494	0.000	1.000
Mom: Blue Collar	12,280	0.262	0.440	0.000	1.000
Mom: White Collar	12,280	0.549	0.498	0.000	1.000
Mom: Not in HH/No Job	12,280	0.188	0.391	0.000	1.000
Dad: Blue Collar	12,276	0.206	0.404	0.000	1.000
Dad: White Collar	12,276	0.483	0.500	0.000	1.000
Dad: Not in HH/No Job	12,276	0.311	0.463	0.000	1.000
HH-Member on Welfare?	12,284	0.098	0.298	0.000	1.000
Home State: Very Well Kept	12,280	0.559	0.497	0.000	1.000
Home State: Fairly Well	12,280	0.299	0.458	0.000	1.000
Home State: (Very) Poor	12,280	0.142	0.349	0.000	1.000
Parent w/ Disability?	12,288	0.119	0.324	0.000	1.000
Meals w/ Mom or Dad? >4 d/w	12,283	0.677	0.468	0.000	1.000
Close to Mom? No Mom in HH/Not Close	12,282	0.083	0.275	0.000	1.000
Close to Mom? Somewhat	12,282	0.075	0.264	0.000	1.000
Close to Mom? Quite a Bit/Very Much	12,282	0.842	0.365	0.000	1.000
Close to Dad? No Dad in HH/Not Close	12,282	0.314	0.464	0.000	1.000
Close to Dad? Somewhat	12,282	0.095	0.293	0.000	1.000
Close to Dad? Quite a Bit/Very Much	12,282	0.592	0.492	0.000	1.000
Family w/ Suicide Attempt?	12,235	0.046	0.208	0.000	1.000
<b>Social Life</b>					
Friend Contact/Week: No Contact	12,286	0.091	0.287	0.000	1.000
Friend Contact/Week: 1-2	12,286	0.233	0.423	0.000	1.000
Friend Contact/Week: 3-4/Other	12,286	0.265	0.442	0.000	1.000
Friend Contact/Week: >5	12,286	0.411	0.492	0.000	1.000
Socially Accepted? Agree	12,276	0.851	0.356	0.000	1.000
Socially Accepted? Don't Know	12,276	0.106	0.308	0.000	1.000
Socially Accepted? Disagree	12,276	0.043	0.203	0.000	1.000
Friend w/ Suicide Attempt?	12,228	0.183	0.387	0.000	1.000
Ever in Romantic Relation?	12,254	0.542	0.498	0.000	1.000
No Sex yet	12,215	0.370	0.483	0.000	1.000
Homosexual Attraction	12,288	0.037	0.188	0.000	1.000
<b>Neighborhood</b>					
Witnessed Shootings? Never	12,288	0.887	0.317	0.000	1.000
Witnessed Shootings? Yes	12,288	0.113	0.317	0.000	1.000
Private Schools (% , Tract)	12,176	0.089	0.093	0.000	0.844
25+ w/o HS-Degree (% , Tract)	12,192	0.273	0.140	0.000	0.874
Educ. Exp. (per capita, County)	12,234	675.185	155.211	2.542	2,281.676
Health Exp. (per capita, County)	12,234	142.263	156.277	0.000	839.839
Welfare Exp. (per capita, County)	12,234	58.701	90.415	0.000	473.003
Security Exp. (per capita, County)	12,234	78.481	40.188	7.712	193.884
Children w/o Both Parents (% , Tract)	12,183	0.253	0.165	0.012	0.946
Housing Vacancy (% , Tract)	12,188	0.088	0.082	0.000	0.858
Housing w/o Plumbing (% , Tract)	12,187	0.008	0.016	0.000	0.235
Median HH Income in k (Tract)	12,183	29.864	12.451	4.999	125.053

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Table A.1 – *Continued from previous page*

	N	Mean	SD	Min	Max
SD HH Income in k (Tract)	12,183	25.465	7.945	7.761	66.828
Poverty (% (Tract)	12,190	0.145	0.120	0.000	0.765
Unemployment Rate (Tract)	12,184	0.075	0.047	0.000	0.509
Pers./Sq.-km (Tract)	12,194	1.418	3.053	0.000	69.172
Race Dispersion (Tract)	12,192	0.255	0.241	0.000	0.933
Median Age (Tract)	12,192	32.095	4.197	12.667	64.448
Foreign % (Tract)	12,192	0.065	0.117	0.000	0.869
<1 Crime per 100 ppl	12,288	0.791	0.407	0.000	1.000
1-2 Violent Crimes per 100 ppl	12,288	0.189	0.391	0.000	1.000
>2 Violent Crimes per 100 ppl	12,288	0.021	0.142	0.000	1.000
<3 Non-Violent Crimes per 100 ppl	12,288	0.247	0.431	0.000	1.000
3-6 Non-Violent Crimes per 100 ppl	12,288	0.500	0.500	0.000	1.000
>6 Non-Violent Crimes per 100 ppl	12,288	0.252	0.434	0.000	1.000
Mail Vote pre-NVRA	12,232	0.714	0.452	0.000	1.000
% Working Outside County	12,232	0.243	0.182	0.017	0.736
Dem./Rep. % in 1992 Vote	12,232	0.038	0.176	-0.408	0.592
<b>School</b>					
Dist. School: <2km	12,288	0.345	0.475	0.000	1.000
Dist. School: 2-5km	12,288	0.313	0.464	0.000	1.000
Dist. School: 5-10km	12,288	0.208	0.406	0.000	1.000
Dist. School: >10km	12,288	0.135	0.342	0.000	1.000
Class Size: <20	12,288	0.079	0.270	0.000	1.000
Class Size: 20-24	12,288	0.192	0.394	0.000	1.000
Class Size: 25-29	12,288	0.288	0.453	0.000	1.000
Class Size: >30	12,288	0.441	0.496	0.000	1.000
% Female Teacher: <25	12,288	0.016	0.124	0.000	1.000
% Female Teacher: 26-50	12,288	0.197	0.398	0.000	1.000
% Female Teacher: 51-75	12,288	0.344	0.475	0.000	1.000
% Female Teacher: >75	12,288	0.443	0.497	0.000	1.000
% Teacher w/ MA: < 25	12,288	0.110	0.313	0.000	1.000
% Teacher w/ MA: 26-50	12,288	0.307	0.461	0.000	1.000
% Teacher w/ MA: 51-75	12,288	0.162	0.368	0.000	1.000
% Teacher w/ MA: >75	12,288	0.422	0.494	0.000	1.000
<b>Ability</b>					
Skipped Grade	12,283	0.021	0.143	0.000	1.000
Repeated Grade	12,283	0.214	0.410	0.000	1.000
PVT Score W1	11,718	100.503	14.836	10.000	141.000
<b>Physical Condition</b>					
BMI: Underweight	12,288	0.143	0.350	0.000	1.000
BMI: Normal Weight	12,288	0.608	0.488	0.000	1.000
BMI: Overweight	12,288	0.249	0.433	0.000	1.000
Looks: Unattractive	12,279	0.064	0.245	0.000	1.000
Looks: Avg. Attractive	12,279	0.445	0.497	0.000	1.000
Looks: Attractive	12,279	0.490	0.500	0.000	1.000
Health: Excellent	12,287	0.276	0.447	0.000	1.000
Health: Very Good	12,287	0.395	0.489	0.000	1.000
Health: Good	12,287	0.257	0.437	0.000	1.000
Health: Not Good	12,287	0.071	0.258	0.000	1.000
Permanent Physical Condition?	12,283	0.024	0.154	0.000	1.000
Use Mobility Device?	12,284	0.028	0.164	0.000	1.000
Physical Difficulties?	12,284	0.042	0.200	0.000	1.000
<b>Psychological Condition</b>					
Intelligence? Below Avg.	12,280	0.069	0.254	0.000	1.000
Intelligence? About Avg.	12,280	0.379	0.485	0.000	1.000
Intelligence? Above Avg.	12,280	0.551	0.497	0.000	1.000
Hard Work Pays? Agree	12,274	0.737	0.440	0.000	1.000
Hard Work Pays? Don't Know	12,274	0.185	0.388	0.000	1.000
Hard Work Pays? Disagree	12,274	0.078	0.269	0.000	1.000
Suicidal thoughts? Yes	12,194	0.134	0.341	0.000	1.000
Going to College? Little Chance	12,264	0.255	0.436	0.000	1.000
Going to College? Good Chance	12,264	0.745	0.436	0.000	1.000
Live to 35? Little Chance	12,261	0.136	0.343	0.000	1.000
Live to 35? Good Chance	12,261	0.864	0.343	0.000	1.000
Marry 'til 25? Little Chance	12,262	0.570	0.495	0.000	1.000
Marry 'til 25? Good Chance	12,262	0.430	0.495	0.000	1.000
Psychological Counseling	12,283	0.125	0.330	0.000	1.000
Never Smoked	12,282	0.551	0.497	0.000	1.000
Not Smoked Regularly	12,282	0.236	0.425	0.000	1.000
Smoked Regularly	12,282	0.213	0.409	0.000	1.000
Never Drink	12,278	0.530	0.499	0.000	1.000
Drink: 1-7/Week	12,278	0.096	0.294	0.000	1.000
Drink: <1-3/Month	12,278	0.203	0.403	0.000	1.000
Drink: 1-2/Year	12,278	0.170	0.376	0.000	1.000
Ever Used Other Drugs	12,288	0.315	0.464	0.000	1.000
Criminal Offense	12,288	0.456	0.498	0.000	1.000
<b>Genetic Endowment</b>					
DAT1 A: >9R	11,663	0.583	0.493	0.000	1.000
DAT1 A: 3R-9R	11,663	0.417	0.493	0.000	1.000
DAT1 B: >9R	11,663	0.940	0.238	0.000	1.000
DAT1 B: 7R-9R	11,663	0.060	0.238	0.000	1.000
DRD4 A: 2R-3.39R	11,670	0.222	0.415	0.000	1.000
DRD4 A: 4R-6R	11,670	0.729	0.444	0.000	1.000

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Table A.1 – Continued from previous page

	N	Mean	SD	Min	Max
DRD4 A: >6R	11,670	0.049	0.216	0.000	1.000
DRD4 B: 2R-3R	11,670	0.018	0.132	0.000	1.000
DRD4 B: 4R-6R	11,670	0.599	0.490	0.000	1.000
DRD4 B: >6R	11,670	0.383	0.486	0.000	1.000
MAOA-V A: 2R-3.5R	11,678	0.512	0.500	0.000	1.000
MAOA-V A: 4R-5R	11,678	0.488	0.500	0.000	1.000
HTTLPR A: L'	11,684	0.321	0.467	0.000	1.000
HTTLPR A: S'	11,684	0.679	0.467	0.000	1.000
HTTLPR B: L'	11,684	0.634	0.482	0.000	1.000
HTTLPR B: S'	11,684	0.366	0.482	0.000	1.000
DRD2 A: A	11,587	0.447	0.497	0.000	1.000
DRD2 A: G	11,587	0.553	0.497	0.000	1.000
DRD2 B: A	11,587	0.065	0.246	0.000	1.000
DRD2 B: G	11,587	0.935	0.246	0.000	1.000
COMT A: A	11,166	0.708	0.455	0.000	1.000
COMT A: G	11,166	0.292	0.455	0.000	1.000
COMT B: A	11,166	0.223	0.416	0.000	1.000
COMT B: G	11,166	0.777	0.416	0.000	1.000
5HTT A: C	10,895	0.920	0.271	0.000	1.000
5HTT A: T	10,895	0.080	0.271	0.000	1.000
5HTT B: C	10,895	0.503	0.500	0.000	1.000
5HTT B: T	10,895	0.497	0.500	0.000	1.000
DRD5 A: 124-132	11,420	0.023	0.151	0.000	1.000
DRD5 A: 134	11,420	0.029	0.167	0.000	1.000
DRD5 A: 136	11,420	0.041	0.198	0.000	1.000
DRD5 A: 138	11,420	0.139	0.346	0.000	1.000
DRD5 A: 140	11,420	0.082	0.274	0.000	1.000
DRD5 A: 142	11,420	0.082	0.274	0.000	1.000
DRD5 A: 144	11,420	0.074	0.262	0.000	1.000
DRD5 A: 146	11,420	0.110	0.313	0.000	1.000
DRD5 A: 148	11,420	0.356	0.479	0.000	1.000
DRD5 A: 150-172	11,420	0.064	0.245	0.000	1.000
DRD5 B: 126-138	11,420	0.021	0.144	0.000	1.000
DRD5 B: 140	11,420	0.014	0.116	0.000	1.000
DRD5 B: 142	11,420	0.029	0.167	0.000	1.000
DRD5 B: 144	11,420	0.033	0.180	0.000	1.000
DRD5 B: 146	11,420	0.066	0.248	0.000	1.000
DRD5 B: 148	11,420	0.433	0.496	0.000	1.000
DRD5 B: 150	11,420	0.187	0.390	0.000	1.000
DRD5 B: 152	11,420	0.140	0.347	0.000	1.000
DRD5 B: 154	11,420	0.051	0.219	0.000	1.000
DRD5 B: 156-174	11,420	0.027	0.161	0.000	1.000
MAOCA1 A: 101-113	11,465	0.022	0.146	0.000	1.000
MAOCA1 A: 109	11,465	0.011	0.103	0.000	1.000
MAOCA1 A: 111	11,465	0.072	0.258	0.000	1.000
MAOCA1 A: 113	11,465	0.532	0.499	0.000	1.000
MAOCA1 A: 115	11,465	0.157	0.364	0.000	1.000
MAOCA1 A: 117	11,465	0.023	0.150	0.000	1.000
MAOCA1 A: 119-131	11,465	0.184	0.388	0.000	1.000

**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Means and standard deviations are weighted to correct for sampling procedure and sample attrition through Wave 3 and Wave 4. To enable the construction of circumstance types, each continuous variable is split into above and below median groups.

Table A.2: Robustness to Selective Attrition (t-test)

	Estimation Sample			Full Sample			Difference
	N	Mean	SD	N	Mean	SD	p-value
<b>Registered (2000)</b>							
Census Region	8,938	0.704	0.457	18,913	0.698	0.459	0.328
White	8,938	0.153	0.360	18,913	0.152	0.359	0.884
Black	8,938	0.032	0.175	18,913	0.034	0.182	0.241
Asian	8,938	0.111	0.315	18,913	0.115	0.319	0.356
Other Non-White	8,938	2.498	0.927	18,924	2.495	0.925	0.791
Sex	8,938	0.494	0.500	18,922	0.491	0.500	0.640
<b>Vote (2000)</b>							
Census Region	8,910	0.704	0.457	18,913	0.698	0.459	0.328
White	8,910	0.153	0.360	18,913	0.152	0.359	0.884
Black	8,910	0.032	0.175	18,913	0.034	0.182	0.242
Asian	8,910	0.111	0.315	18,913	0.115	0.319	0.356
Other Non-White	8,910	2.498	0.927	18,924	2.495	0.925	0.792
Sex	8,910	0.494	0.500	18,922	0.491	0.500	0.640
<b>Contact Official</b>							
Census Region	8,971	0.704	0.457	18,913	0.698	0.459	0.327
White	8,971	0.153	0.360	18,913	0.152	0.359	0.884
Black	8,971	0.032	0.175	18,913	0.034	0.182	0.241
Asian	8,971	0.111	0.315	18,913	0.115	0.319	0.355
Other Non-White	8,971	2.498	0.927	18,924	2.495	0.925	0.791
Sex	8,971	0.494	0.500	18,922	0.491	0.500	0.640
<b>Rally/March</b>							
Census Region	8,970	0.704	0.457	18,913	0.698	0.459	0.327
White	8,970	0.153	0.360	18,913	0.152	0.359	0.884
Black	8,970	0.032	0.175	18,913	0.034	0.182	0.241
Asian	8,970	0.111	0.315	18,913	0.115	0.319	0.355
Other Non-White	8,970	2.498	0.927	18,924	2.495	0.925	0.791
Sex	8,970	0.494	0.500	18,922	0.491	0.500	0.640
<b>Political Organization</b>							
Census Region	8,947	0.704	0.457	18,913	0.698	0.459	0.328
White	8,947	0.153	0.360	18,913	0.152	0.359	0.884
Black	8,947	0.032	0.175	18,913	0.034	0.182	0.241
Asian	8,947	0.111	0.315	18,913	0.115	0.319	0.356
Other Non-White	8,947	2.498	0.927	18,924	2.495	0.925	0.791
Sex	8,947	0.494	0.500	18,922	0.491	0.500	0.640
<b>Volunteer Work</b>							
Census Region	8,947	0.704	0.457	18,913	0.698	0.459	0.328
White	8,947	0.153	0.360	18,913	0.152	0.359	0.884
Black	8,947	0.032	0.175	18,913	0.034	0.182	0.241
Asian	8,947	0.111	0.315	18,913	0.115	0.319	0.356
Other Non-White	8,947	2.498	0.927	18,924	2.495	0.925	0.791
Sex	8,947	0.494	0.500	18,922	0.491	0.500	0.640
<b>Vote Always</b>							
Census Region	8,944	0.704	0.457	18,913	0.698	0.459	0.328
White	8,944	0.153	0.360	18,913	0.152	0.359	0.884
Black	8,944	0.032	0.175	18,913	0.034	0.182	0.241
Asian	8,944	0.111	0.315	18,913	0.115	0.319	0.356
Other Non-White	8,944	2.498	0.927	18,924	2.495	0.925	0.791
Sex	8,944	0.494	0.500	18,922	0.491	0.500	0.640
<b>Vote Never</b>							
Census Region	8,944	0.704	0.457	18,913	0.698	0.459	0.328
White	8,944	0.153	0.360	18,913	0.152	0.359	0.884
Black	8,944	0.032	0.175	18,913	0.034	0.182	0.241
Asian	8,944	0.111	0.315	18,913	0.115	0.319	0.356
Other Non-White	8,944	2.498	0.927	18,924	2.495	0.925	0.791
Sex	8,944	0.494	0.500	18,922	0.491	0.500	0.640

**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Means and standard deviations are weighted to correct for sampling procedure and sample attrition through Wave 3 and Wave 4. Columns 2-4 summarize a set of demographic characteristics for the estimation sample of each outcome of interest. Columns 5-7 summarize a set of demographic characteristics for the full sample, i.e. all observations with a positive cross-sectional sampling weight in Wave 1. The last column displays the p-value for a t-test on the equality of means of both samples.

Table A.3: Shapley Value Decomposition

Outcome	Baseline		Contribution		
	N	Diss. Index	Circumstance Group	Abs.	in %
<b>Political Participation</b>					
Registered (2000)	8,938	9.2%	Family	1.9pp	21.0%
			Demographics	1.6pp	17.3%
			Psychological Condition	1.5pp	16.8%
			Ability	1.2pp	12.8%
			Neighborhood	1.0pp	10.4%
			Physical Condition	0.6pp	6.5%
			Genetic Endowment	0.6pp	6.4%
			Social Life	0.4pp	4.6%
School	0.4pp	4.2%			
Vote (2000)	8,910	18.1%	Family	3.9pp	21.8%
			Psychological Condition	3.7pp	20.6%
			Demographics	2.6pp	14.2%
			Ability	2.3pp	12.7%
			Neighborhood	1.6pp	9.0%
			Physical Condition	1.2pp	6.8%
			Genetic Endowment	1.1pp	6.2%
			School	1.1pp	6.0%
Social Life	0.5pp	2.8%			
Contact Official	8,971	56.3%	Family	11.3pp	20.1%
			Ability	10.5pp	18.7%
			Psychological Condition	9.3pp	16.5%
			Genetic Endowment	6.8pp	12.0%
			Neighborhood	5.8pp	10.3%
			Demographics	3.3pp	5.9%
			School	3.3pp	5.9%
			Physical Condition	3.2pp	5.7%
Social Life	2.7pp	4.9%			
Rally/March	8,970	52.5%	Family	12.9pp	24.7%
			Psychological Condition	10.1pp	19.3%
			Ability	6.4pp	12.2%
			Genetic Endowment	6.0pp	11.5%
			Neighborhood	6.0pp	11.5%
			Physical Condition	3.7pp	7.0%
			Social Life	3.4pp	6.6%
			Demographics	1.9pp	3.7%
School	1.9pp	3.6%			
Political Organization	8,947	55.1%	Psychological Condition	10.1pp	18.3%
			Family	10.1pp	18.3%
			Genetic Endowment	8.0pp	14.5%
			Neighborhood	5.9pp	10.7%
			Demographics	5.0pp	9.1%
			Physical Condition	4.6pp	8.4%
			School	4.0pp	7.2%
			Social Life	3.9pp	7.0%
Ability	3.7pp	6.6%			
Volunteer Work	8,947	22.4%	Psychological Condition	5.0pp	22.5%
			Family	5.0pp	22.2%
			Ability	2.8pp	12.3%
			Social Life	2.4pp	10.6%
			Neighborhood	1.9pp	8.7%
			Physical Condition	1.6pp	6.9%
			Demographics	1.5pp	6.6%
			Genetic Endowment	1.4pp	6.4%
School	0.9pp	3.9%			
Vote Always	8,944	20.2%	Family	4.5pp	22.4%
			Psychological Condition	3.6pp	17.6%
			Genetic Endowment	2.6pp	13.0%
			Demographics	2.5pp	12.5%
			Neighborhood	2.4pp	12.1%
			Physical Condition	1.4pp	6.8%
			Social Life	1.3pp	6.4%
			Ability	1.0pp	4.9%
School	0.9pp	4.3%			
Vote Never	8,944	22.9%	Family	5.3pp	23.1%
			Psychological Condition	4.2pp	18.5%
			Ability	3.2pp	13.7%
			Demographics	3.1pp	13.4%
			Genetic Endowment	2.1pp	9.3%
			Neighborhood	1.7pp	7.6%
			Physical Condition	1.3pp	5.7%
			Social Life	1.0pp	4.4%
School	1.0pp	4.2%			
<b>Other Outcomes</b>					

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Table A.3 – Continued from previous page

Outcome	Baseline		Contribution		
	N	Diss. Index	Circumstance Group	Abs.	in %
Personal Income W3 (\$)	8,491	17.1%	Demographics	6.4pp	37.3%
			Social Life	1.9pp	10.8%
			Family	1.8pp	10.3%
			Neighborhood	1.6pp	9.6%
			Psychological Condition	1.6pp	9.6%
			Genetic Endowment	1.4pp	8.0%
			School	1.1pp	6.6%
			Physical Condition	0.8pp	4.6%
Personal Income W4 (\$)	8,826	16.8%	Demographics	3.6pp	21.3%
			Psychological Condition	2.4pp	14.4%
			Family	2.4pp	14.0%
			Neighborhood	1.9pp	11.2%
			Genetic Endowment	1.7pp	10.4%
			School	1.5pp	8.7%
			Ability	1.4pp	8.6%
			Social Life	1.1pp	6.5%
Very Good/Excellent Health	8,980	13.8%	Physical Condition	4.5pp	32.3%
			Family	2.0pp	14.3%
			Psychological Condition	1.9pp	14.0%
			Neighborhood	1.4pp	9.8%
			Social Life	1.0pp	7.3%
			Demographics	0.9pp	6.8%
			Genetic Endowment	0.8pp	5.5%
			Ability	0.7pp	5.3%
High School Diploma	8,980	4.7%	School	0.7pp	4.7%
			Ability	1.0pp	20.9%
			Family	1.0pp	20.7%
			Psychological Condition	0.9pp	18.3%
			Neighborhood	0.5pp	11.1%
			Genetic Endowment	0.3pp	6.9%
			Social Life	0.3pp	6.5%
			Demographics	0.3pp	5.8%
(Some) Tertiary Educ.	8,978	18.3%	School	0.2pp	5.2%
			Physical Condition	0.2pp	4.7%
			Psychological Condition	4.2pp	23.2%
			Family	3.9pp	21.4%
			Ability	3.4pp	18.8%
			Neighborhood	2.1pp	11.5%
			Social Life	1.3pp	6.9%
			Demographics	1.0pp	5.7%
			Physical Condition	0.9pp	4.8%
			School	0.8pp	4.2%
			Genetic Endowment	0.6pp	3.5%

**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Results are based on all available circumstances as displayed in Table A.1. Estimates for binary outcomes are based on the logit estimator. Estimates for continuous outcomes are based on ordinary least squares. All results are weighted to correct for sampling procedure and sample attrition through Wave 3 and Wave 4. The third column shows the baseline estimates as displayed in Table 2. Columns 4-6 show the contribution of the respective circumstance set (Table A.1) to the baseline estimate.

Table A.4: Effort Variables (Summary Statistics)

	N	Mean	SD	Min	Max
PVT Score W3	11,718	102	14	14.000	146.000
Highschool Diploma	12,283	0.883	0.322	0.000	1.000
(Some) Tertiary Educ.	12,285	0.463	0.499	0.000	1.000
Personal Income W3 (k\$)	11,594	13.678	15.933	0.000	2.60e+05
Inst. Trust	12,251	0.543	0.498	0.000	1.000
Identify with Pol. Party	12,130	0.334	0.472	0.000	1.000

**Data:** National Longitudinal Study of Adolescent to Adult Health.

**Note:** Means and standard deviations are weighted to correct for sampling procedure and sample attrition through Wave 3 and 4.